



**DNP** DO  
NOT  
PAY

BUREAU OF THE FISCAL SERVICE

# Do Not Pay Day - Webinar

## Introduction to DNP

Angélique Bridges  
DNP Agency Lead  
April 14, 2020

# Do Not Pay Authorities

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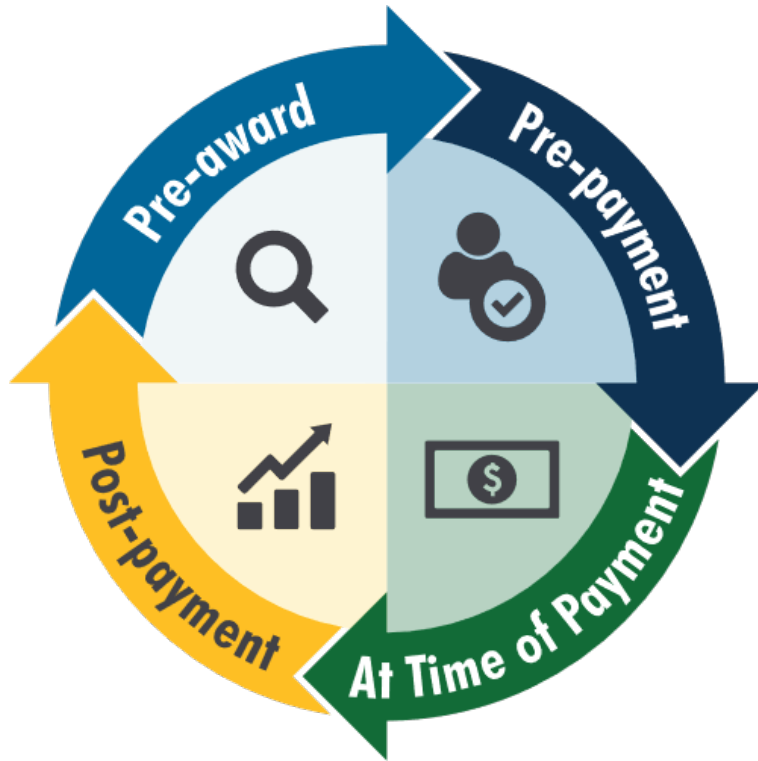
- The Improper Payments Elimination and Recovery Improvement Act of 2012 (IPERIA)
  - Established the Do Not Pay (DNP) Initiative
  - The Office of Management and Budget (OMB) designated the Department of the Treasury to host a working system to assist agencies in identifying and preventing potential improper payments.
  - The Bureau of the Fiscal Service (Fiscal Service) operates the DNP Business Center
- OMB Provides Direction:
  - OMB issues guidance for the DNP program but retains program authority
  - OMB must designate additional databases for use in DNP

# Authorities (cont.)

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- The Federal Improper Payments Coordination Act of 2015 (FIPCA) expanded the IPERIA authority for the legislative and judicial branches, as well as states and other entities of the U.S. to use the Do Not Pay Initiative, including the Business Center, for the purpose of verifying payment or award eligibility for payments.
  - States can access the DNP Initiative for purpose of verifying improper payments after OMB determines that DNP “is appropriately established” for that state (per FIPCA)
- Payment Integrity Information Act of 2019

# DNP and the Payment Life Cycle



## **Pre-award**

Before you start to pay, search DNP to make sure it's okay to pay this person or company.

## **Pre-payment**

Check again throughout the time this person or company is getting payments, or set up to monitor their eligibility. You can also research matches.








## **At Time of Payment (Payment Integration)**

Agency payments submitted to Treasury via the PAM file are automatically matched against available death data sources through Payment Integration.

## **Post-payment**

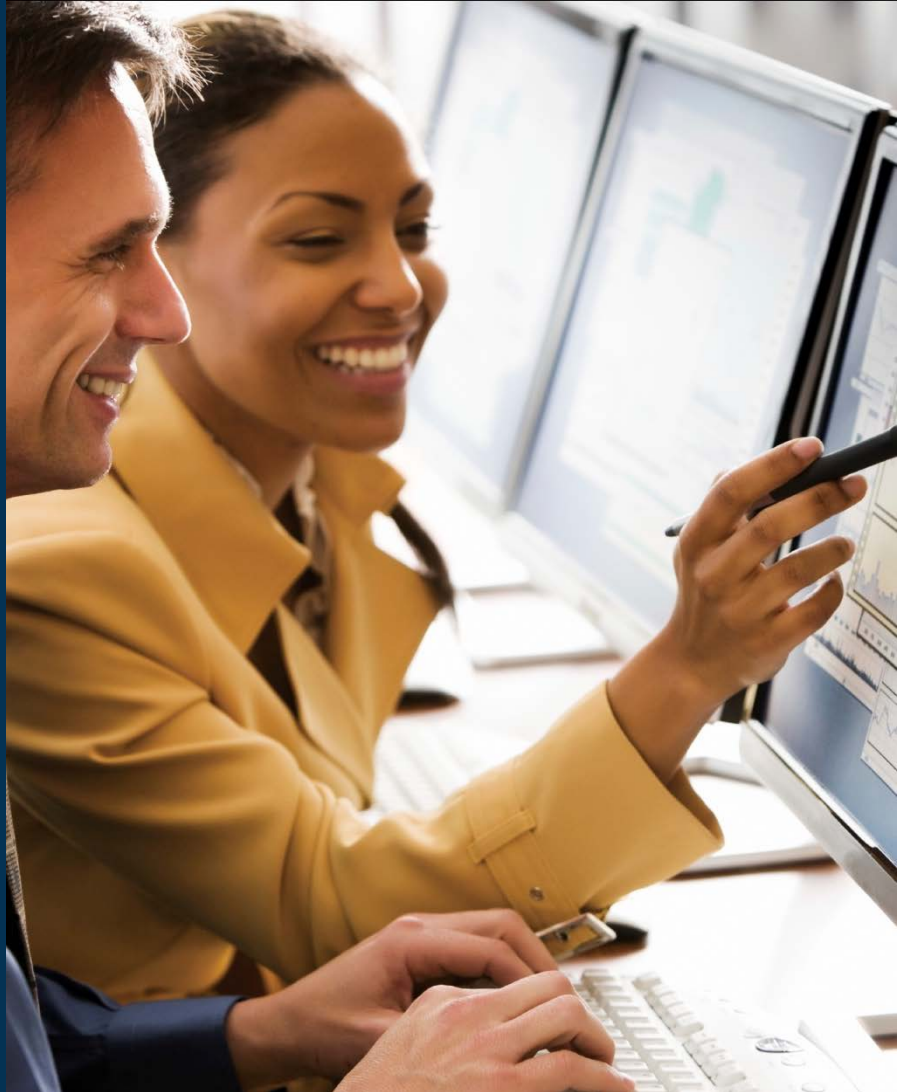
Use Data Analytics to get reports and see trends. Use the reports to fix any problems and improve your service.

# Areas Where DNP Can Help

<p><b>Failure to Verify Data:</b></p> <ol style="list-style-type: none"> <li>1. Death Data</li> <li>2. Financial Data</li> <li>3. Excluded Party Data</li> <li>4. Prisoner Data</li> <li>5. Other Data</li> </ol>	<p>Federal: \$3.3B State: \$0.3B</p>		<p>Examples: Matching to eligibility sources in the Portal.</p>
<p><b>Administrative Process Errors</b></p> <ol style="list-style-type: none"> <li>1. Made by Federal</li> <li>2. Made by State</li> <li>3. Made by Other</li> </ol>	<p>Federal: \$22.0B State: \$26.8B</p>		<p>Examples: Internal controls testing and integrity checks regarding administrative payments such as travel pay and vendor invoices.</p>
<p><b>Insufficient Documentation to Determine</b></p>	<p>Federal: \$41.1B State: \$2.5B</p>		
<p><b>Program Design or Structural Issue</b></p>	<p>Federal: \$5.3B State: \$0.0B</p>		
<p><b>Medical Necessity</b></p>	<p>Federal: \$8.2B State: \$0.0B</p>		
<p><b>Inability to Authenticate Eligibility</b></p>	<p>Federal: \$20.4B State: \$14.0B</p>		<p>Examples: Detecting duplicate and overlapping payments across government programs such as disability pay provided by several agencies.</p>
<p><b>Other</b></p>	<p>Federal: \$0.4B State: \$0.2B</p>		<p>Examples: Detecting fraud risk indicators in payment data.</p>

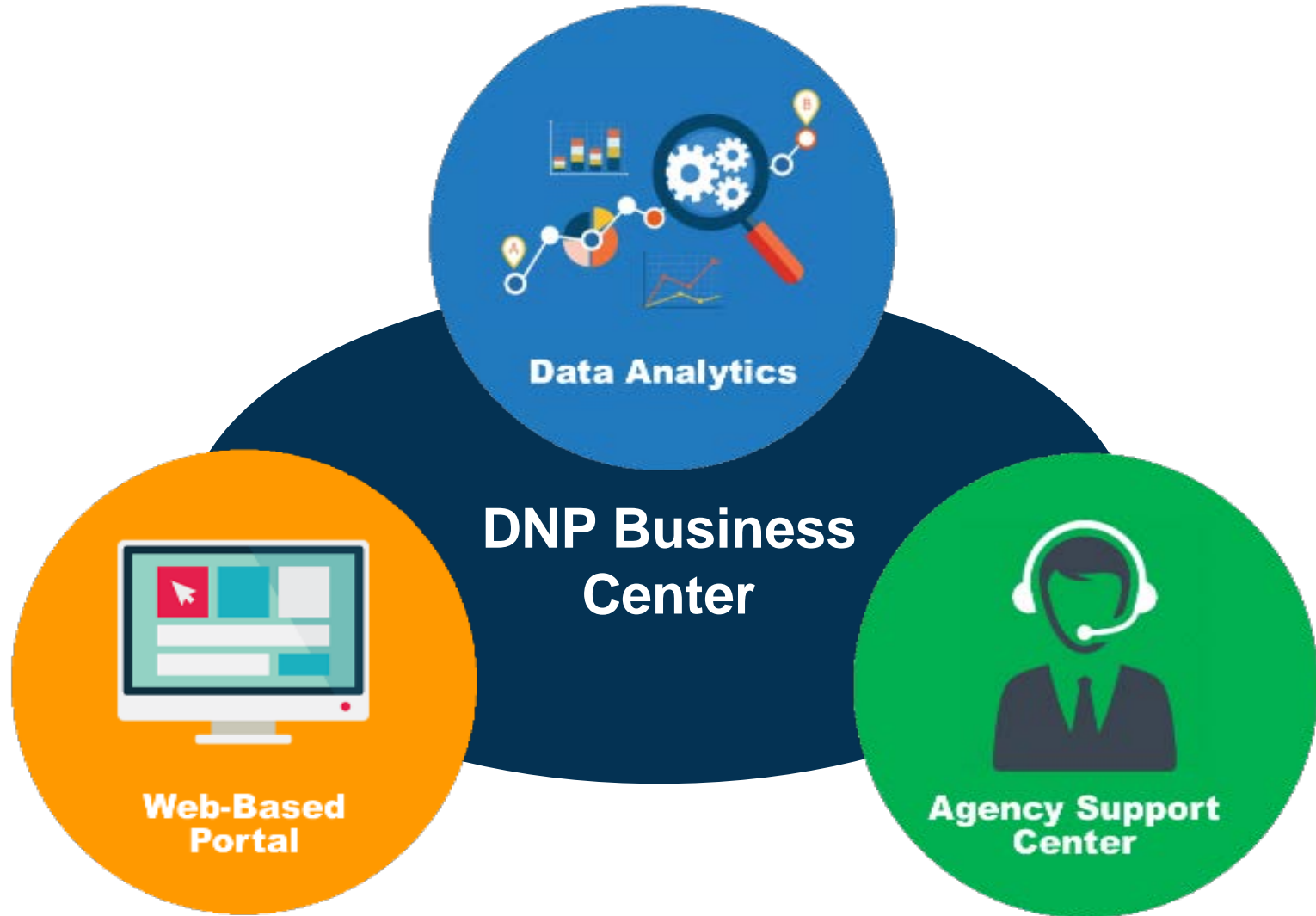
# What is the DNP Business Center?

- A resource for federal agencies and federally funded state administered programs at no-cost to the agencies
- Centralized access to relevant data sources for verifying payment or award eligibility
- Customized data analysis to help agencies detect fraud waste and abuse and strengthen internal controls



# DNP Business Center Components

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# Do Not Pay Portal

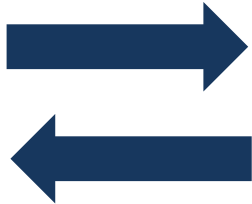
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Free web-based Portal and central location for **improper payment** related data needs



## Online Search

User can search an individual or entity and view matching records



## Batch Matching

One time bulk submission for screening grants or loan payments against specified data sources



## Continuous Monitoring

Continuous screening for eligibility verification against specified data sources using a grantee or loan recipient listing



# Available Data Sources

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## **American InfoSource Death Data - Commercial**

- Commercial data source which contains information on deceased individuals obtained from more than 3,000 funeral homes and thousands of newspapers, as well as county-level probate records

## **Department of Defense Death Records - Public**

- Contains records of individuals in active or reserve military duty, including whether an individual is deceased

## **Department of State Death Records - Public**

- Contains records of American Citizens who are deceased or presumed deceased in foreign countries, as reported by U.S. embassies or consulates upon its receipt of a foreign death certificate or finding of death by a local competent authority

## **Death Master File (DMF) - Public (SSA)**

- Administered by the National Technical Information Services (NTIS). Contains records of deceased individuals as reported by family members, funeral homes, hospitals, federal agencies, postal authorities, and financial institutions. Public version does not include state-reported deaths

## **Credit Alert System (CAIVRS) - Restricted (DOJ, HUD, SBA, USDA, & VA)**

- Contains records of individuals with federal debt in delinquent or default.
- Verify whether an individual is a delinquent federal borrower

# Available Data Sources

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## **Treasury Offset Program (TOP) Debt Check - Restricted (Treasury)**

- Contains records of individuals whose debt has been referred to Debt Management Services for collection.
- Verify whether a payee owes delinquent non-tax debts to federal government (and participating states)

## **List of Excluded Individuals and Entities (LEIE) (HHS) – Public & Restricted**

- Verify whether payments are to individuals and entities currently excluded from participating in federal health care programs

## **Office of Foreign Assets Control (OFAC) - Public (Treasury)**

- Contains records of individuals, groups, and entities which are under sanction by the federal government and therefore ineligible for payment

## **System for Award Management (SAM) Entity Registration Records - Restricted (GSA)**

- Contains records of individuals and businesses authorized to enter into contracts with the federal government
- Verify whether payments are to debarred individuals

## **SAM Exclusion Records – Public & Restricted - (GSA)**

- Contains records of individuals and businesses currently debarred from entering into contracts with the Federal Government under specific exclusions or registered to conduct business with the federal government
- Verify that a vendor seeking to do business with the federal government has registered, in accordance with the Federal Acquisition Regulation (FAR)

# Data Sources Available in Data Analytics

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**In addition to data sources available in the Portal, Analytics also has access to:**

## **Internal Revenue Service's Tax-Exemption Lists**

- Contains records of organizations eligible and ineligible to receive tax-deductible charitable contributions and grants targeting tax-exempt entities.
  - 990-N (e-Postcard) – Authorized organizations with under \$50,000 in contributions
  - Publication 78 – Authorized organizations with over \$50,000 in contributions
  - Automatic Revocation of Exemption List – Organizations whose tax-exempt status has been revoked

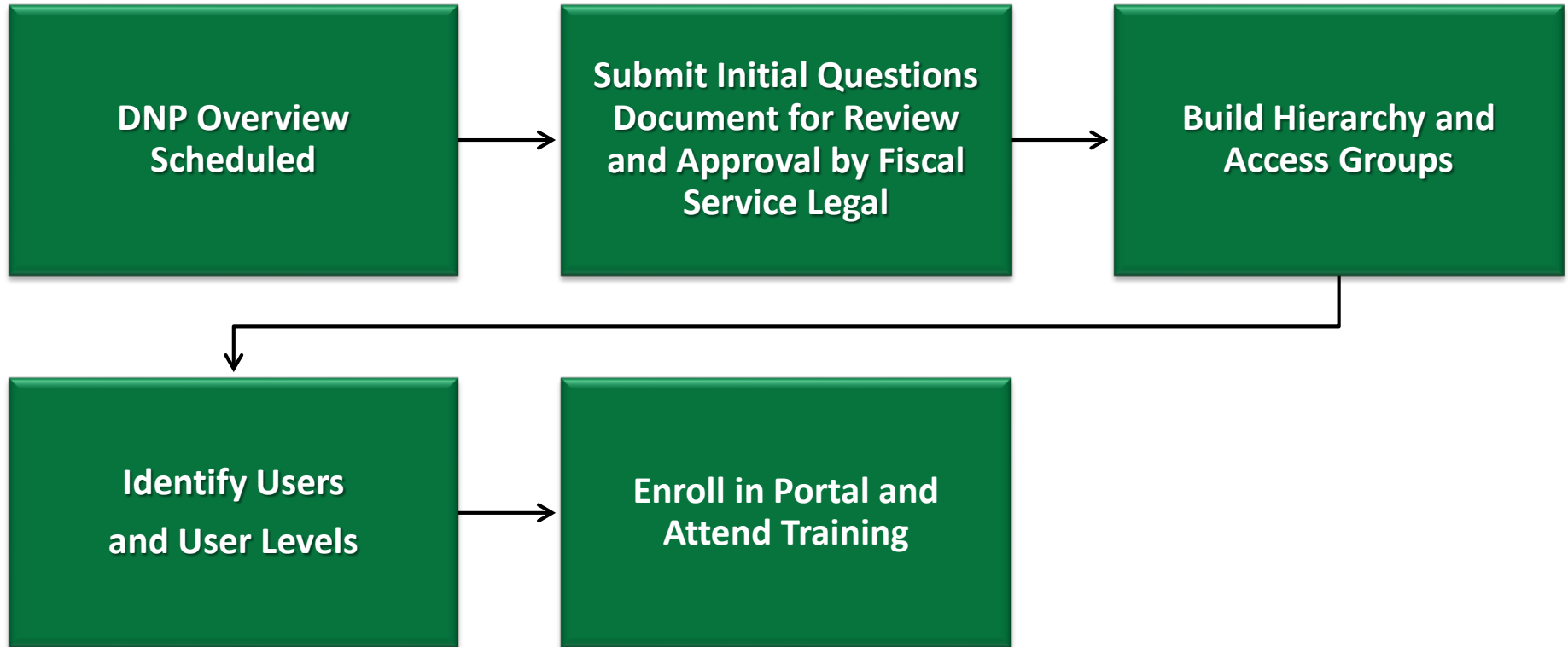
# Available Data Sources

		DNP Data Sources									
Payment Type	DNP Usage	AIS	DMF	DOD	DOS	CAIVRS	LEIE	OFAC	SAM Entity	SAM Exclusion	TOP Debt Check
Grant and/or Loan Payments	Online Search		✓			✓	✓	✓	✓	✓	✓
	Continuous Monitoring	✓	✓	✓	✓		✓	✓	✓	✓	✓
	Batch Matching	✓	✓	✓	✓		✓	✓	✓	✓	✓
Beneficiary Payments	Online Search		✓			✓	✓	✓			✓
	Continuous Monitoring	✓	✓	✓	✓		✓				✓
	Batch Matching	✓	✓	✓	✓		✓				✓
Vendor and/or Contractor Payments	Online Search		✓			✓	✓	✓	✓	✓	✓
	Continuous Monitoring	✓	✓	✓	✓		✓	✓	✓	✓	✓
	Batch Matching	✓	✓	✓	✓		✓	✓	✓	✓	✓

✓ Indicates data sources that may require a Computer Matching Agreement (CMA)

# Onboarding Process

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# DNP Agency Support

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- Provides customized Outreach and Business Process solutions based upon agency needs:
  - Onboarding to Do Not Pay Portal
  - Training
  - Business Process Mapping
  - Focus Groups
  - User Community Events
    - Best Practices Forum
    - Grants Management Forum
    - Do Not Pay Day
  - Help Desk Support



# DNP Agency Assignments

Agency	Treasury Agency Lead	FRB STL Agency Specialist	STL Onboarding Specialist
Department of Agriculture (USDA)	Angélique	Drew	Marva
Department of Commerce (Commerce)	Jon	Shannon	Marva
Department of Defense (DoD)	Angélique	Kristofer	Marva
Department of Education (ED)	Jon	Kristofer	Marva
Department of Energy (DOE)	Jon	Kristofer	Marva
Department of Health and Human Services (HHS)	Angélique	Josh	Marva
Department of Homeland Security (DHS)	Angélique	Shannon	Marva
Department of Housing and Urban Development (HUD)	Angélique	Josh	Marva
Department of Justice (Justice)	Lori	Kristofer	Marva
Department of Labor (DOL)	Angélique	Shannon	Marva
Department of State (State)	Lori	Shannon	Marva
Department of the Interior (DOI)	Jon	Kristofer	Marva
Department of the Treasury (Treasury)	Angélique	Josh	Marva
Department of Transportation (DOT)	Lori	Drew	Marva
Department of Veterans' Affairs (VA)	Angelique	Shannon	Marva
Environmental Protection Agency (EPA)	Jon	Kristofer	Marva
General Services Administration (GSA)	Jon	Drew	Marva
National Aeronautics and Space Administration (NASA)	Angélique	Kristofer	Marva
National Science Foundation (NSF)	Angélique	Shannon	Marva
Nuclear Regulatory Commission (NRC)	Jon	Kristofer	Marva
Office of Personnel Management (OPM)	Lori	Drew	Marva
Small Business Administration (SBA)	Lori	Shannon	Marva
Social Security Administration (SSA)	Lori	Josh	Marva
U.S. Agency for International Development (USAID)	Lori	Shannon	Marva





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# Do Not Pay Business Center Website Walkthrough

Lori Makle-Sellman  
DNP Agency Lead  
April 14, 2020



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# Do Not Pay Business Center Computer Matching Agreement (CMA) Process

Letitia Johns  
Senior Privacy Analyst  
April 14, 2020

# What is a CMA?

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- Written agreement between originating or source agency and recipient agency
  - Describes the purposes and procedures of the matching program
  - Establishes protections for matching records involving personally identifiable information maintained in a system of records
  - Regulated by provisions of the Computer Matching and Privacy Protection Act of 1988



# What is a System of Records?

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- A “System of Records”
  - is a group of any records under the control of an agency, from which information is retrieved by the name of an individual or by some identifying number, symbol, or other identifier assigned to the individual.



# What is a SORN?

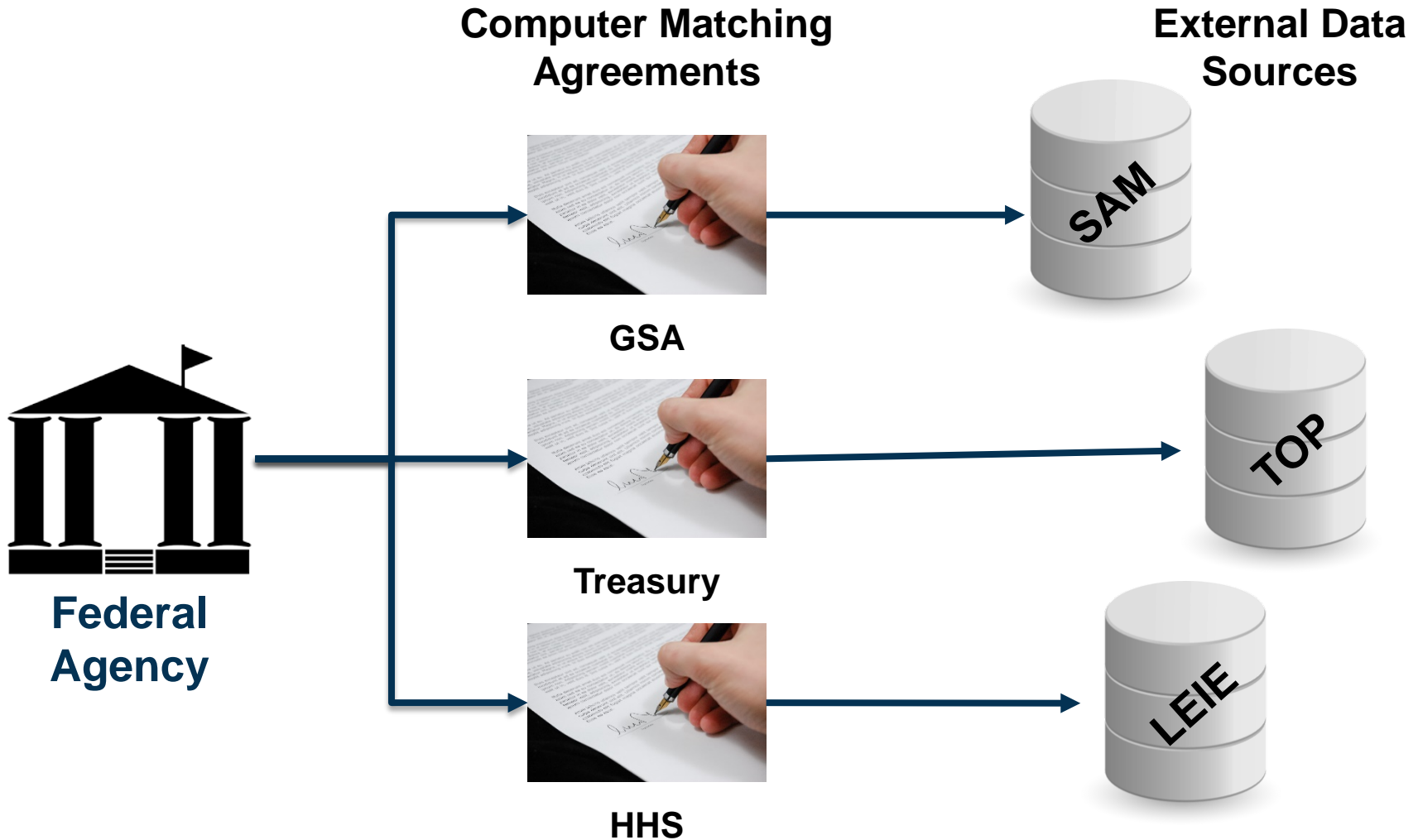
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A System of Records Notice (SORN) is a notice published in the Federal Register that—

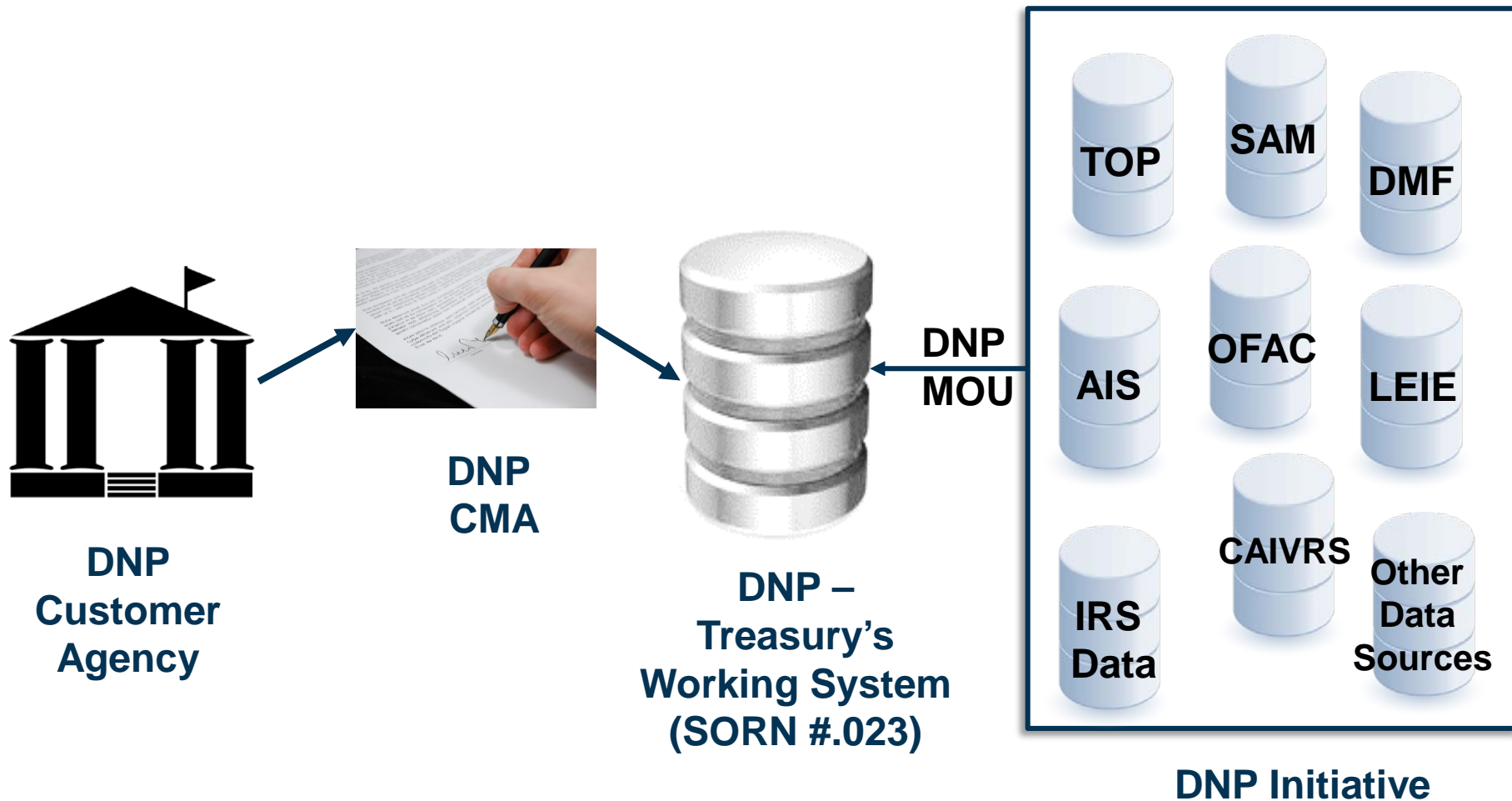
- Identifies:
  - the purpose of a system of records
  - The individuals are covered by information in a system of records.
  - the categories of records that are maintained about the individuals.
  - how the information is shared by the agency (routine uses).
- Informs the public of the existence of records
- Provides notice to the public of their rights and procedures under the Privacy Act for accessing and correcting information maintained by the agency on an individual.



# CMA Process without DNP SORN



# Benefits of the DNP SORN





# Why is it Important?

	January XXXX	February XXXX
Total Payments	\$191,225,037,880	\$155,985,536,614
SAM Exclusions- <b>Public</b> Matches	\$876,707,274	\$840,440,999
SAM Exclusions- <b>Public</b> Improper	\$3,838	\$2,698
Matches as % of Payments	0.4585%	0.5388%
Improper as % of Matches	0.0004%	0.0003%



	January XXXX	February XXXX
Total Payments	\$191,225,037,880	\$155,985,536,614
SAM Exclusions- <u>Private</u> Matches	\$1,593,129	\$2,638,512
SAM Exclusions- <u>Private</u> Improper		
Matches as % of Payments	0.001%	0.002%
Improper as % of Matches		

**Reduction in Matches 99.75%**

PACER			
Name	TIN	State	Payment
John Smith	123456789	CA	\$100.00

SAM Exclusions Public		
Name	TIN	State
John A. Smith	-	AL
John B. Smith	-	AZ
John C. Smith	-	AR
John D. Smith	-	DE
John E. Smith	-	HI
John F. Smith	-	IL
John G. Smith	-	IA
John H. Smith	-	IN
John I. Smith	-	MO
John J. Smith	-	OH
John K. Smith	-	CA
John L. Smith	-	UT
John M. Smith	-	ID
John N. Smith	-	TN
John O. Smith	-	KY
John P. Smith	-	FL
John Q. Smith	-	GA
John R. Smith	-	RI
John S. Smith	-	VT
John T. Smith	-	MA
John U. Smith	-	PA

PACER			
Name	TIN	State	Payment
John Smith	123456789	CA	\$100.00

SAM Exclusions Private		
Name	TIN	State
John A. Smith	-	AL
John B. Smith	012345678	AZ
John C. Smith	234567890	AR
John D. Smith	345678910	DE
John E. Smith	456789012	HI
John F. Smith	567890123	IL
John G. Smith	678901234	IA
John H. Smith	789012345	IN
John I. Smith	890123456	MO
John J. Smith	-	OH
John K. Smith	123456789	CA
John L. Smith	102030405	UT
John M. Smith	901234567	ID
John N. Smith	987654321	TN
John O. Smith	876543210	KY
John P. Smith	-	FL
John Q. Smith	765432108	GA
John R. Smith	654321098	RI
John S. Smith	543210987	VT
John T. Smith	432109876	MA
John U. Smith	032109875	PA

# What are Some CMA Triggers?



U.S. Citizen



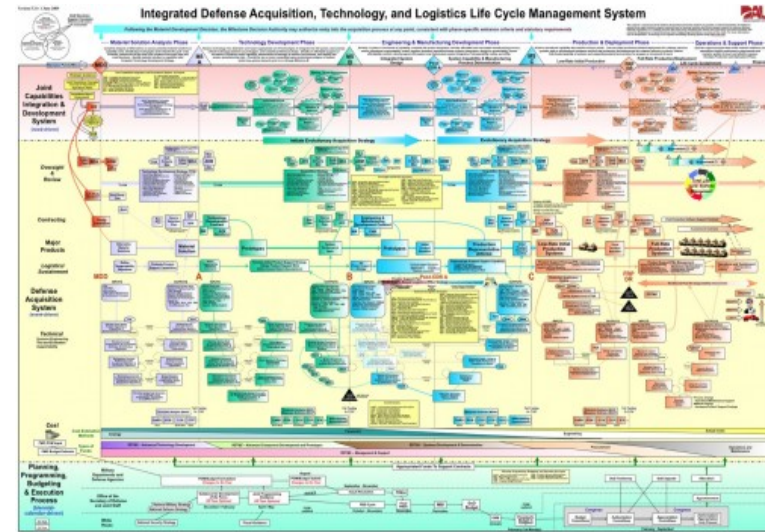
Deceased



# CMA Triggers



Matching to provide cash or in-kind assistance for Federal benefit programs



Matching to make procurement decisions

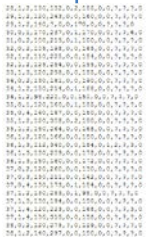


# CMA Triggers

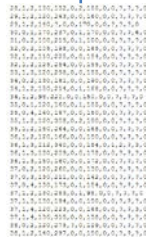
## CMA



DNP SORN



Customer SORN



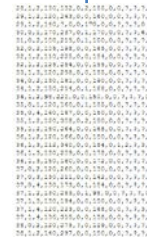
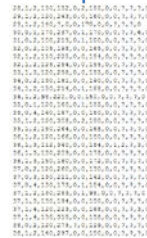
Matching files each of which is aligned to a SORN



## DNP Onboarding



DNP SORN



Matching a file that is aligned to a SORN to another file not covered by a SORN



# CMAs are NOT Required for...

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- Online searches in the DNP Portal
- Statistical matches
- Matching program between **public** data sources or those not aligned to a system of records
- Matching programs that do not involve verifying eligibility in a Federal benefit program
  - Example: When the purpose of the match is to make procurement decisions
- Payment Integration (*Treasury handles this for you in the payment stream*)



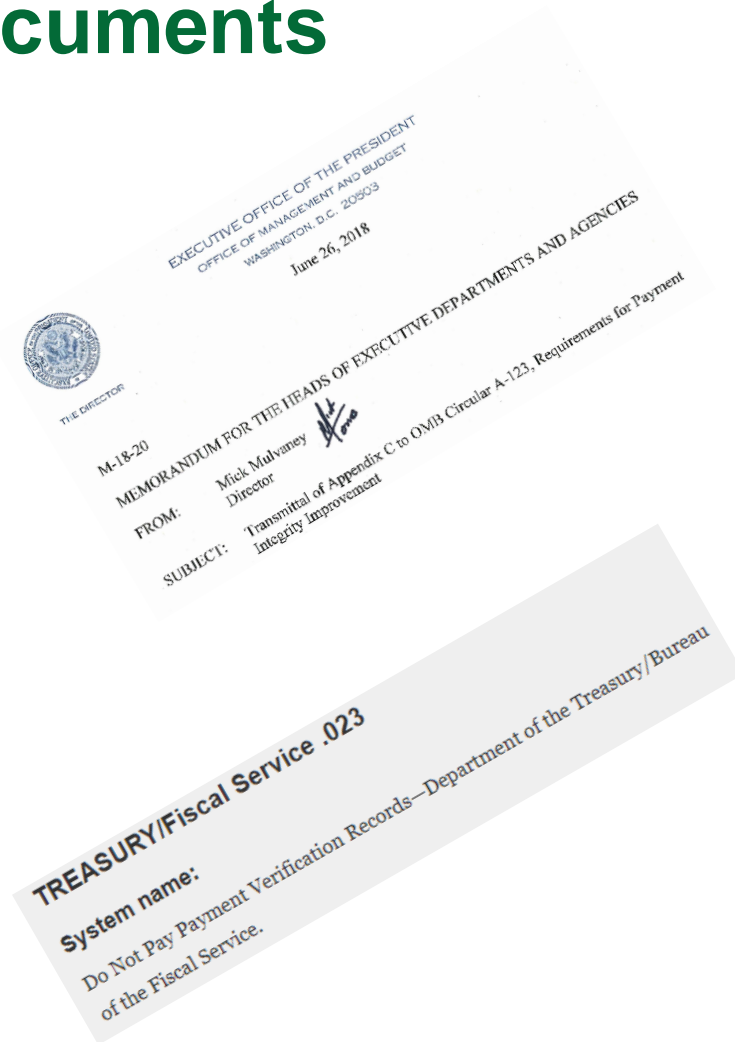
# DNP and CMAs

## Two Very Important Documents

Office of Management and Budget  
OMB M-18-20 Requirements for Payment  
Integrity Improvement

Do Not Pay Verification Records  
System of Records Notice (SORN  
#.023) JAN 2014

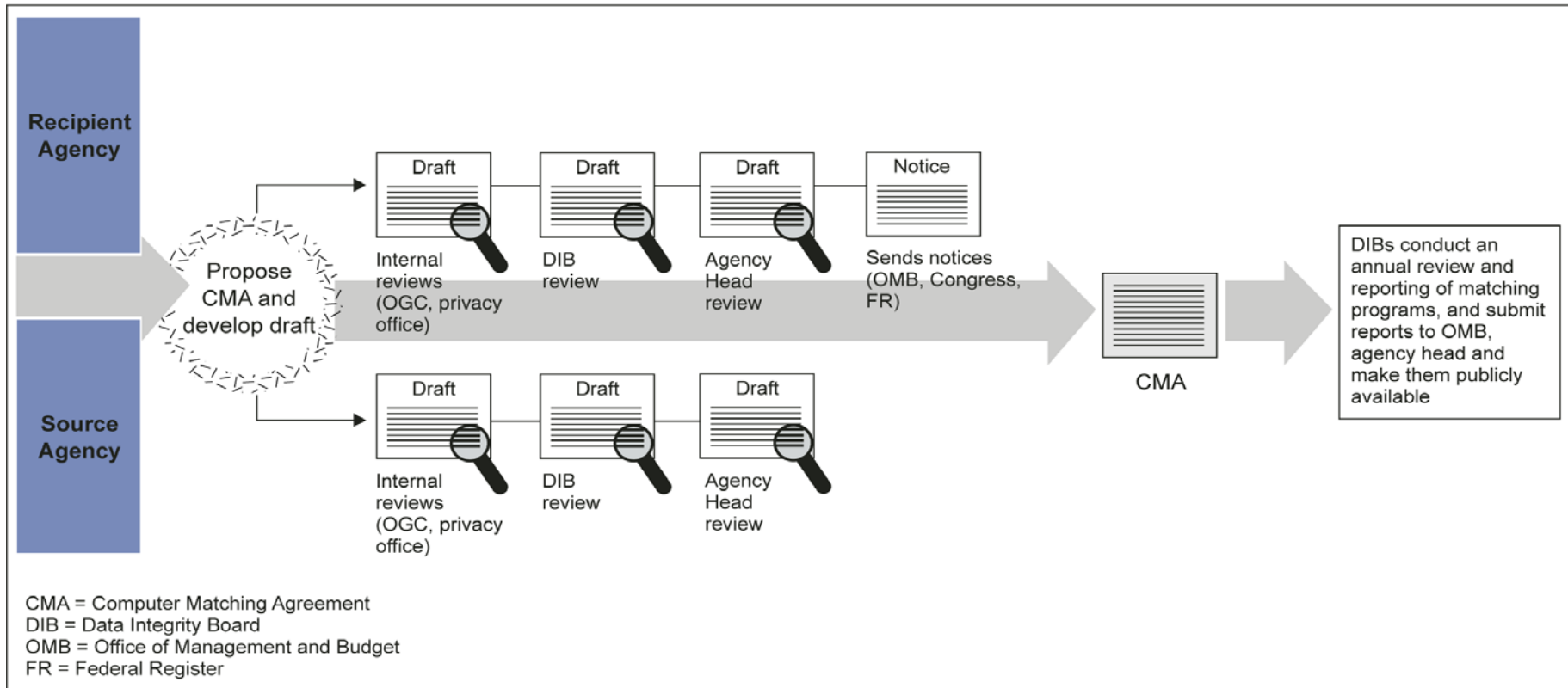
Enables DNP to derive data, retain records,  
and enter into CMAs



# CMA Process - Documentation

**Recipient Agency = DNP Customers**

**Source Agency = Treasury's DNP**



Source: GAO analysis of Privacy Act requirements and agency documentation.



# CMA Process – OMB M-18-20

Topic	Excerpt
<b>CMA Lifespan</b>	Matching programs have a termination date of less than three years. Agencies may renew these CMAs for a maximum of three years.
<b>Review Period</b>	Data Integrity Boards (DIBs) have a 60-day deadline for review of a CMA. If circumstances do not permit the DIB to approve or disapprove the DNP matching program within 60 days, the DIB shall provide a brief memorandum to the head of the agency (or to the Inspector General in cases where the Inspector General proposed the matching program) describing the necessity for the delay.
<b>Cost Benefit Analysis</b>	Agencies' cost-benefit analyses for a DNP matching program need not contain a specific estimate of any savings.
<b>Multilateral CMAs</b>	A computer matching agreement that involves more than two agencies



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U.S. DEPARTMENT OF THE TREASURY

# Do Not Pay Business Center Analytics Services

Shannon Alkhalaf  
DNP Agency Specialist  
April 14, 2020

# Who We Are

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The **DNP Analytic Solutions Team** is dedicated to delivering *analytical insights* and *innovative data solutions* that help you improve your *payment integrity* and meet your mission.



# Agency Partnership

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You can utilize DNP **analytic services** to address:

**Eligibility risk and payment integrity issues related to:**

- Beneficiaries
- Vendors
- Travel Pay
- Payroll
- Service Providers
- Loans
- Grants
- Disaster Funds
- Loss Guarantee/Insurance

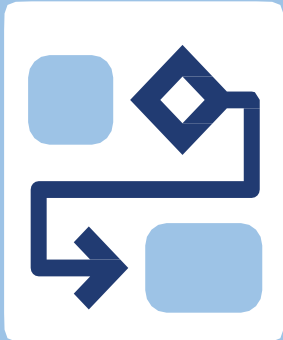


**Your Business  
Problem Here**

# Our Techniques

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We employ advanced **data analysis techniques**.



**Data  
Matching**



**Data  
Modeling**



**Risk  
Assessment**



**Advanced  
Algorithms**

# Our Services

**Analytic Solutions Team services** range from consultation to fully-integrated data solutions that can be customized to your business.



# Our Experience

## Ensure Payment Integrity

### Data Quality

- TIN
- Name
- Address

### Eligible Recipients

- Program Eligibility Requirements
- Valid Identity

### Accurate Payments

- Non-Duplicative
- Correct Amount

### Risk Mitigation

- Valid Internal Controls
- Strong Business Processes

The right payment to the right recipient at the right time for the right purpose.



# DNP Success Stories

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- **Integrity Checks – Travel Pay Program**

- DNP performed integrity checks customized to the program's business requirements and processes

- **Results**

- Identified \$31,000 in duplicate travel payments that had not been identified or recovered by the program

- **Feedback**

- “DNP’s findings helped to strengthen FINCEN’s [U.S. Coast Guard Finance Center] internal policies and procedures and provided a better understanding of data integrity controls.”

# DNP Success Stories

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- **Third Party Lender Training Prioritization**

- DNP developed an interactive, risk-based prioritization tool, allowing the program to identify its highest-risk lenders

- **Results**

- The program was able to focus resources on training lenders that pose the highest risk, which allowed it to be most effective in carrying out its mission

- **Feedback**

- “Our experience with the DNP Analytics Team has been awesome. We all want to do more analytics, but we don’t have the time or resources to do that. Using DNP analytics was like augmenting our staff. We got the very best analytics possible for free.”



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# Do Not Pay Business Center State Engagement

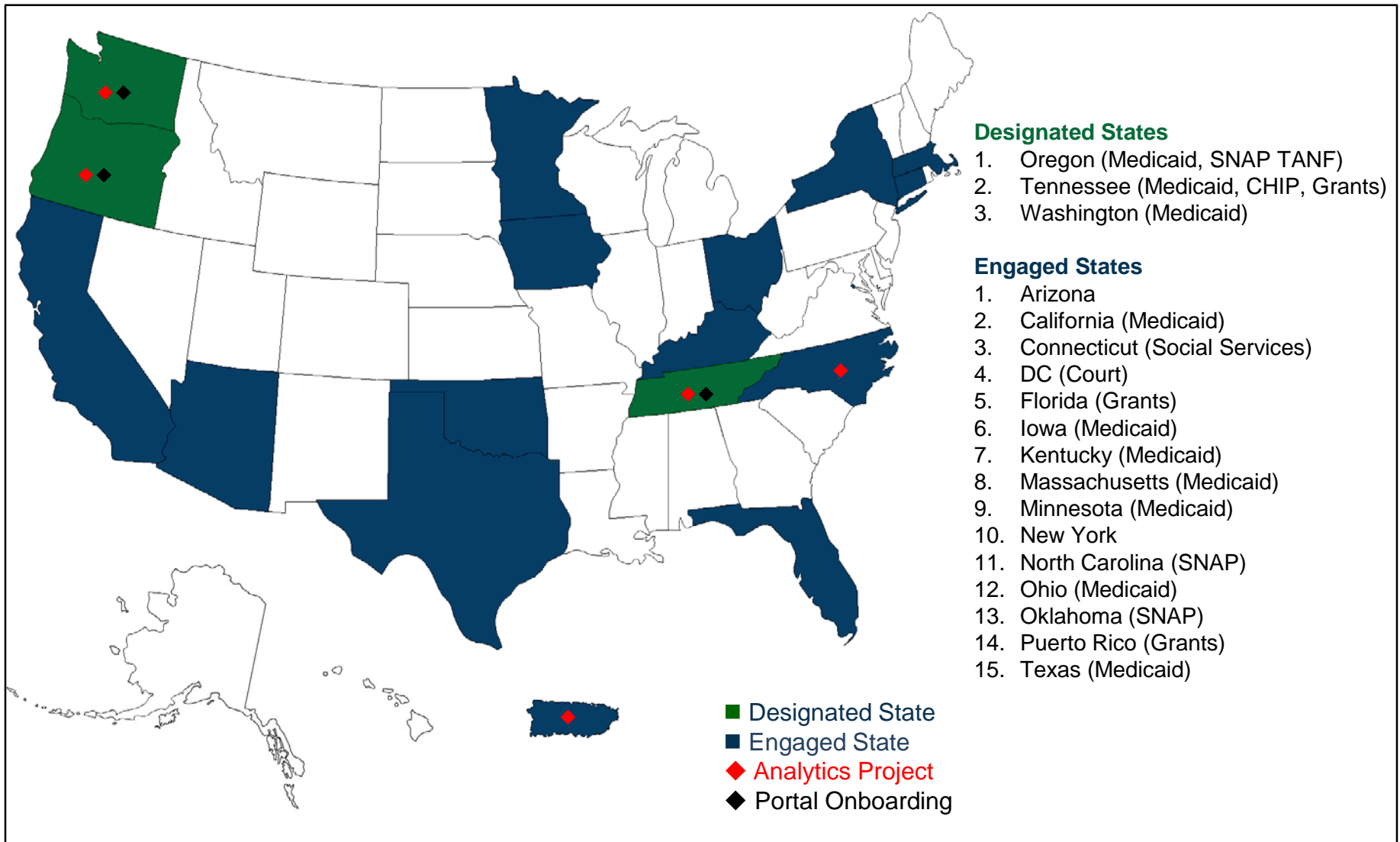
Jon Ortiz  
DNP State Project Lead  
April 14, 2020

# Contents

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- State Engagement Dashboard
- Working Together
- Success Story
- OMB Designation
- State Analytics
- FY2020 State Analytic Project Plan

# Current State Engagement



# Working Together

## Stakeholder Needs

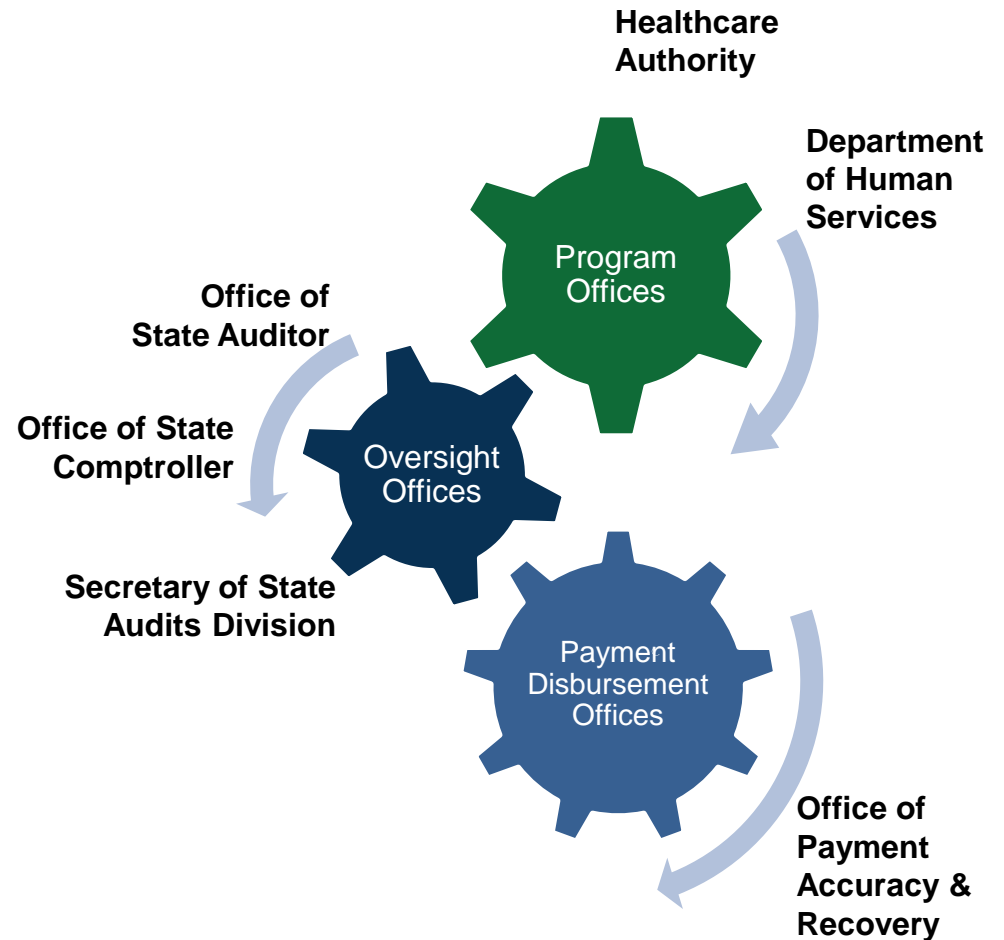
- DNP adapts to state customers specific needs based on their customer type

## Providing Value Pre-Designation

- Assess state's existing controls
  - Identification of Risky TINs
  - Fraud Detection
  - Data Quality
- Identifies the risk of overpayments associated with duplicate beneficiaries or providers

## Developing a Reusable Solution

- Program Eligibility and Verification
- Dual Enrollment
  - Across Multiple States
  - Within a State
- Administrative Errors
  - Duplication



# State Success: Turning No into Yes

The Oregon Healthcare Authority developed a white paper indicating DNP Services would not be beneficial. (FY 2018)

DNP conducted analysis of Oregon's Medicaid, SNAP and TANF programs. (FY 2019)

Oregon Office of Payment Accuracy and Recovery (centralized program that oversees Medicaid payments across Oregon) is taking steps to onboard to use DNP. (FY 2020)

No

Yes

DNP worked with Oregon Audit Division to prove DNP benefits. (FY 2019)

\$780K in cost avoidance/recovery as result of DNP Analysis. (FY 2020)

*"Working with Do Not Pay was a great experience! The detailed analytics DNP provided, at no charge, helped our state identify numerous improper payments.*

*We look forward to opportunities to work together again."*

**Ian Green**

**Principal Auditor, Oregon Audits Division  
State of Oregon**

# Designation by Federal Program

## Current Path: Designate a State for all Programs

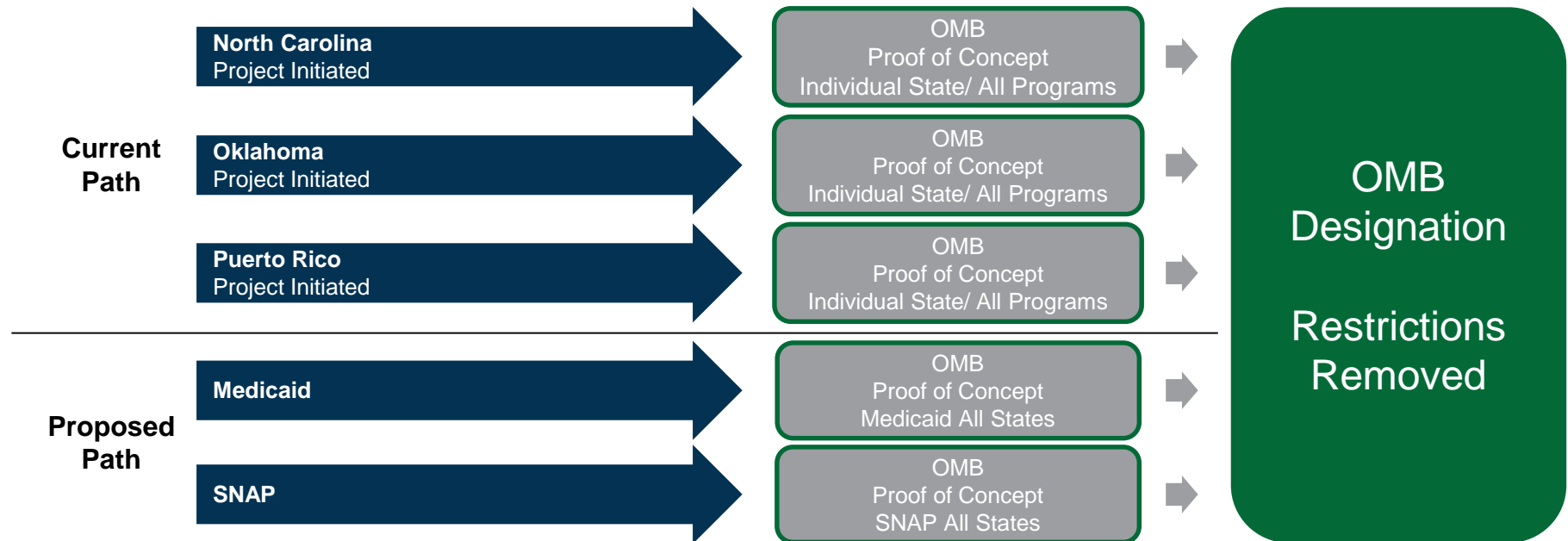
Execute projects that demonstrate value of DNP to the State

1. Designate North Carolina (all programs)
2. Designate Oklahoma (all program)
3. Designate Puerto Rico (all programs)

## Proposed Path: Designate a Program for all States

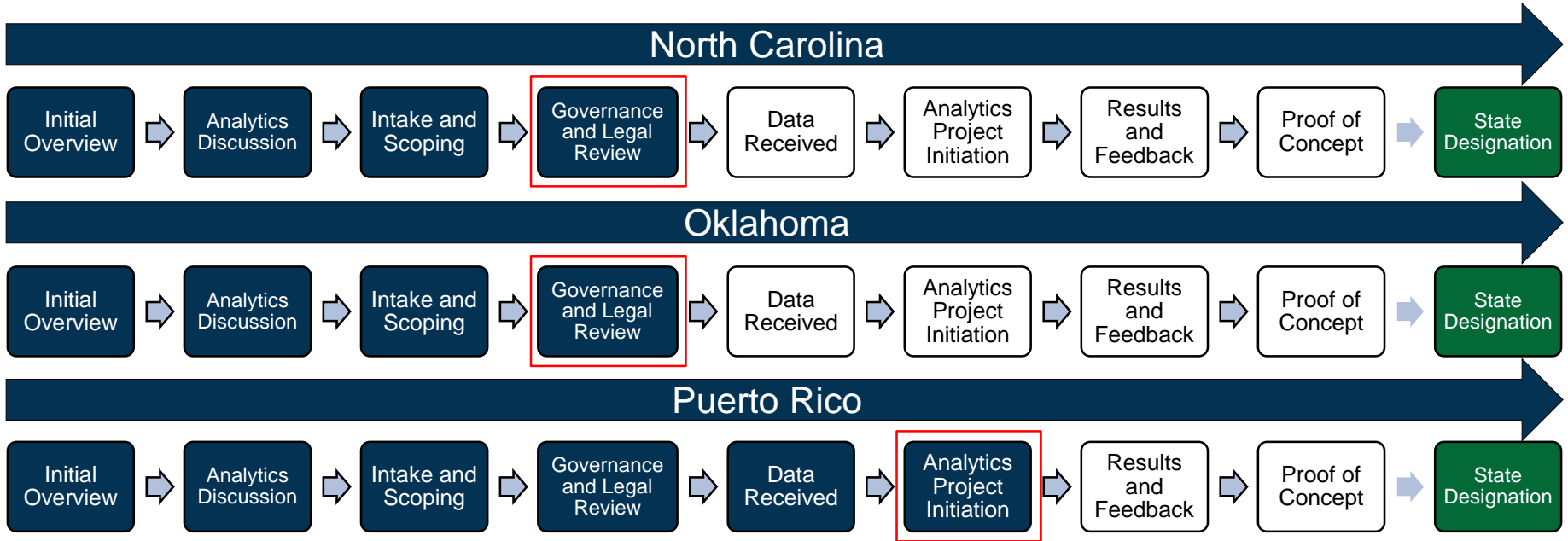
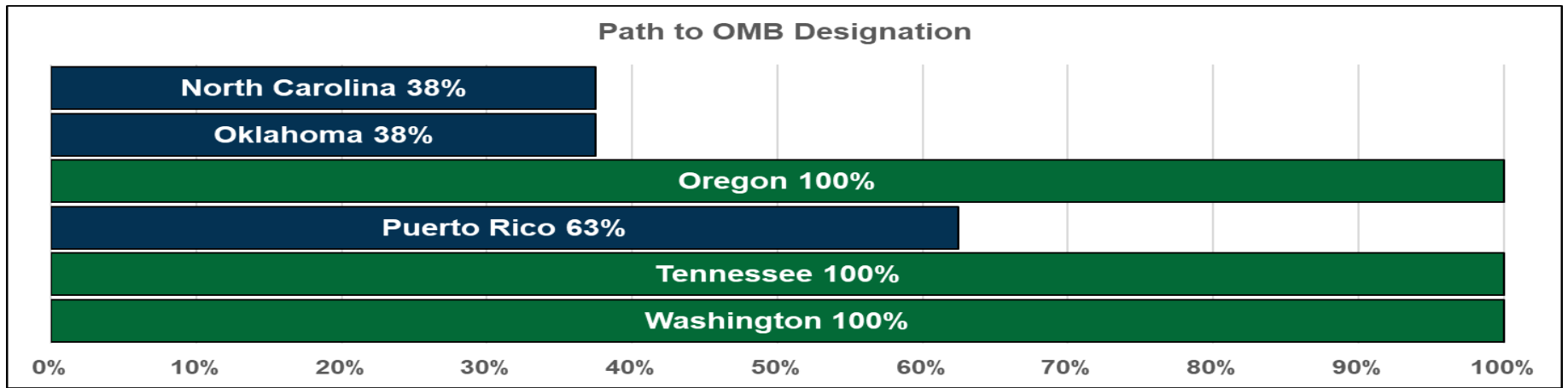
Execute similar projects that demonstrate the value DNP could provide to a program in every state

1. Designate Medicaid (for all states)
2. Designate SNAP (for all states)





# Path to OMB Designation



# Current State Project Plan

State Project Plan					
<b>State</b>	North Carolina	Oklahoma	Puerto Rico	Tennessee	Washington
<b>Program</b>	Office of State Auditor	Agency Audit Division	Department of Housing	TennCare	Healthcare Authority
<b>Project</b>	SNAP Designation Tool – Participants	SNAP Beneficiary Eligibility Verification	HUD Contractor Eligibility	Medicaid Vendor Consolidation & Integrity Assessment	Medicaid – TBD
<b>Status</b>	DNP Governance	DNP Governance	In Execution	DNP Governance	Initial Discussions
<b>Next Step</b>	Awaiting governance feedback from customer	Awaiting governance feedback from customer	Execution began 1/29/2020. Complete deliverable and provide to customer	Awaiting governance feedback from customer	Reschedule call – customer to send new times
<b>Action Plan</b>	Use results from proof of concept to designate state. Use results to designate SNAP program.	Use results from proof of concept to designate state. Use results to designate SNAP program	Quantify value of DNP’s ability to perform bulk matches to SAM, to include in PR designation letter to OMB.	Use results from designate Medicaid program and develop Medicaid solution package.	Use results from designate Medicaid program and develop Medicaid solution package

# DNP Success Stories

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- **Audit Support – Federal Funded State Program**
  - Do Not Pay conducted matches against more than 1 million Medicaid recipients both inside and outside Oregon.
- **Results**
  - Identified more than \$790,000 in improper payments, generating an ROI of approximately \$286 in savings for each \$1 spent in researching data matches.
- **Feedback**
  - “I am encouraged to see the Department of Human Services and Oregon Health Authority working to gain access to these important tools that will help our state save money and resources. In programs like Medicaid, every penny counts, and I am glad that Do Not Pay has helped identify these savings.”

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# Lunch



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# Do Not Pay Business Center Portal Enhancements

Joshua Stegemann  
DNP Agency Specialist  
April 14, 2020

# DNP Release Roadmap



# DNP Release 6.2 Enhancements

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- New File Indicator
- File Selection Screen Improvements
- Match Outcome (Feedback)

# New File Indicator

The screenshot shows the DNP Portal interface. A red box highlights the left sidebar, which contains several navigation icons. A red arrow points to the 'New File Indicator' icon, which is a magnifying glass over a document with a checkmark. The main content area includes search fields for SSN/EIN/TIN, DUNS, and business names, along with a 'Select Data Sources' dialog box. The dialog box lists various data sources with checkboxes, including 'American InfoSource Death Data - Probate (AIS-PROB)', 'List of Excluded Individuals/Entities - Public (LEIE-PUB)', 'SAM Exclusion Records - Public (SAM-EXCL-PUB)', 'Credit Alert System (CAIVRS)', 'List of Excluded Individuals/Entities - Restricted (LEIE-RES)', 'SAM Exclusion Records - Restricted (SAM-EXCL-RES)', 'Dept of Defense Death Data (DOD)', 'Office of Foreign Assets Control (OFAC)', and 'SSA Death Master File (DMF)'. The page also features a 'SENSITIVE BUT UNCLASSIFIED' banner and a footer with 'Page 53', 'LEAD · TRANSFORM · DELIVER', and the Bureau of the Fiscal Service logo.




# File Selection Screen Improvements



Announcements Welcome to the DNP Portal! [Take Me to the Legacy Portal Page](#)

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### Continuous Monitoring

File Selection

Export Format  
CSV 

File Name	Conclusive	Probable	Possible	Total Records in File	Received Date/Time ↓	Through Date	Match Date/Time		
QA TEST PAYEE - CON MON - TRACIE V.	280	1229	154	841	09/30/2019 04:40 PM	10/11/2019	11/27/2019 11:30 AM	Export All	View Results
QA TEST PAYEE - CON MON 2- TRACIE V.	40	110	25	116	09/26/2019 01:07 PM	10/11/2019	11/27/2019 11:30 AM	Export All	View Results

Accessibility Privacy Policy Data Quality

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# Export Format and Match Strength

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**Continuous Monitoring**

File Selection

**Export Format**

- CSV
- Pipe Delimited
- Fixed Width

	Conclusive	Probable	Possible	Total Records in File	Received Date/Time	Through Date	Match Date/Time	
CON MON - TRACIE V.	280	1229	154	841	09/30/2019 04:40 PM	10/11/2019	11/27/2019 11:30 AM	Export All View Results
QA TEST PAYEE - CON MON 2- TRACIE V.	40	110	25	116	09/26/2019 01:07 PM	10/11/2019	11/27/2019 11:30 AM	Conclusive View Results Probable Possible

Do you want to open or save Con-Mon-Export-CSV.csv (9.15 KB) from ftf.portal.donotpay.treas.gov?

Open Save Cancel

Accessibility Privacy Policy Data Quality

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# Large Number of Matches Indicators

Match Date/Time	Match Type	Action	Warning
1/21/2020 02:53 PM	Export All	Export Only	!
1/18/2019 09:37 AM	Conclusive	Export Only	!
1/12/2019 12:23 PM	Probable	View Results	
	Possible		

50,000  
Matches

200,000  
Matches

**Export Limit Exceeded**

Total results exceed the **200,000 record limit** for self-service exporting.

Choose any of the active available options below to export.

If you need further assistance, please contact your Do Not Pay Agency Lead, email [donotpay@fiscal.treasury.gov](mailto:donotpay@fiscal.treasury.gov), or call [1-855-837-4391](tel:1-855-837-4391).

# Match Outcome (Feedback)

The screenshot shows a web form titled "Match Outcome (Feedback)". The form is enclosed in a red border. At the top, there are two tabs: "SAM-EXCL-RES (as of 04/25/2019)" and "SAMENT (as of 01/31/2019)". Below the tabs, the form is divided into several sections:

- Outcome:** A section containing a question "Did this information prevent an improper payment?" with radio buttons for "Yes" (selected) and "No".
- Dollars:** A text input field.
- Frequency:** A dropdown menu with options: "One-time", "Daily", "Monthly", "Annual", "Contract/Award Total", and "Other".
- Payment Category:** A dropdown menu with options: "Allotment", "Annuity", "Benefit", "Child Support", "Education", "Fee", "Insurance", "Miscellaneous", "Refund", "Salary", "Thrift", "Travel", and "Vendor".
- Comment:** A large text area for providing additional feedback.

At the bottom of the form, there are logos for the Bureau of the Fiscal Service and DNP (Department of National Payments), along with links for "Accessibility", "Privacy Policy", and "Data Quality". The footer includes the text "SENSITIVE BUT UNCLASSIFIED" and "An Official Website of the United States Government".

# Reports Selection Screen

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## Reports

Report Selection



### Adjudication Summary Report

High-level summary of access group adjudication statuses by month.



### Payment Activity Report

Summary of access group PAM payments, matches and adjudication statuses by ALC.

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[Privacy Policy](#)

[Data Quality](#)

# Adjudication Summary Report Request Page

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## Reports

[Report Selection](#) > Adjudication Summary Report

Year  
2019

Month  
December

ALC  
All

- All
- 12346127
- 12345673
- 12360015
- 14090030
- 12345676
- 20050000
- 20100002
- 12345679

Generate Report

Submit Report

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[Data Quality](#)

# New Adjudication Summary Report PDF

## Do Not Pay Adjudication Summary Report

Department of the Treasury

December 2019 (FY 2020)

**Agency Location Codes (ALCs):** 00000006, 00000007, 00000008, 00000009, 00000010, 00000011, 00000012, 00000013, 00000014, 00000015, 00000016, 00000017, 00000018, 00000019, 00000020, 00000022

**Total Payments - Count (#): 2,835,872 Amount (\$): \$10,915,991,315.38.00**

Payments	December 2019		Prior Month(s)	
	Count (#)	Amount (\$)	Count (#)	Amount (\$)
Matched	4,640	\$8,910,327.12	N/A	N/A
Stopped	4,026	\$7,956,230.29	0	\$0.00
Deemed to represent Proper	0	\$0.00	5	\$11,197.34
Deemed to represent Improper	0	\$0.00	572	\$851,670.80
Adjudication Not Required	0	\$0.00	N/A	N/A
Unresolved	N/A	N/A	31,871	\$60,759,320.00
Not Adjudicated	2,703	\$5,130,306.76	31,294	\$59,896,451.86

**Remaining Not Adjudicated for Prior Plus Current: Count (#) 33,997 Amount (\$)** \$65,026,758.62

**Submitted 01/30/2020 by Jack Sprat (JSPRAT01)**

**Submission Comments:** Review completed on January 14, 2020. Identified several items in need of Stopped Payments. Continued investigation and acted accordingly.

# Payment Activity Report Request Page

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## Reports

[Report Selection](#) > Payment Activity Report

### Date Range

Current Fiscal YTD

Prior Fiscal Year

Custom

Year

From

Month

Year

Through

Month

### ALC

- All
- 00000006
- 00000220
- 00000300
- 00000449
- 00001075
- 00008495

Generate Report



[Accessibility](#)

[Privacy Policy](#)

[Data Quality](#)



# Redesigned Payment Activity Report PDF – Summary Page

## Do Not Pay Payment Activity Report

Department of the Treasury

October 2018 - November 2019

**Agency Location Codes (ALCs):** 00000006, 00000007, 00000008, 00000009, 00000010, 00000011, 00000012, 00000013, 00000014, 00000015, 00000016, 00000017, 00000018, 00000019, 00000020, 00000022

### Summary

Total Payments - Count (#): 48 Amount (\$): \$237,936.00

Payments	Count (#)	Amount (\$)	Source	Count (#)
Matched	192	\$951,744.00	AIS-OBIT	0
Not Adjudicated	32	\$175,824.00	AIS-PROB	0
Proper	64	\$287,040.00	DMF	112
Improper	96	\$488,880.00	DOD	0
Unmatchable	0	\$0.00	DOS	0
Stopped	48	\$92,192.00		

# Redesigned Payment Activity Report PDF – Detail Page

## Do Not Pay Payment Activity Report

Department of the Treasury

October 2018 - November 2019

Agency Location Codes (ALCs): 00000006, 00000007, 00000008, 00000009, 00000010, 00000011, 00000012, 00000013, 00000014, 00000015, 00000016, 00000017, 00000018, 00000019, 00000020, 00000022

ALC: 00000006 Total Payments - Count (#): 12 Amount (\$): \$59,484.00				
Payments	Count (#)	Amount (\$)	Source	Count (#)
Matched	12	\$59,484.00	AIS-OBIT	0
Not Adjudicated	2	\$10,989.00	AIS-PROB	0
Proper	4	\$17,940.00	DMF	7
Improper	6	\$30,555.00	DOD	0
Unmatchable	0	\$0.00	DOS	0
Stopped	3	\$5,762.00		

ALC: 00000007 Total Payments - Count (#): 12 Amount (\$): \$59,484.00				
Payments	Count (#)	Amount (\$)	Source	Count (#)
Matched	12	\$59,484.00	AIS-OBIT	0
Not Adjudicated	2	\$10,989.00	AIS-PROB	0
Proper	4	\$17,940.00	DMF	7
Improper	6	\$30,555.00	DOD	0
Unmatchable	0	\$0.00	DOS	0
Stopped	3	\$5,762.00		

ALC: 00000008 Total Payments - Count (#): 12 Amount (\$): \$59,484.00				
Payments	Count (#)	Amount (\$)	Source	Count (#)
Matched	12	\$59,484.00	AIS-OBIT	0
Not Adjudicated	2	\$10,989.00	AIS-PROB	0
Proper	4	\$17,940.00	DMF	7
Improper	6	\$30,555.00	DOD	0
Unmatchable	0	\$0.00	DOS	0
Stopped	3	\$5,762.00		

ALC: 00000009 Total Payments - Count (#): 12 Amount (\$): \$59,484.00				
Payments	Count (#)	Amount (\$)	Source	Count (#)
Matched	12	\$59,484.00	AIS-OBIT	0
Not Adjudicated	2	\$10,989.00	AIS-PROB	0
Proper	4	\$17,940.00	DMF	7
Improper	6	\$30,555.00	DOD	0
Unmatchable	0	\$0.00	DOS	0
Stopped	0	\$5,762.00		

# Additional 6.3 Enhancements

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- PAR Report can be generated by non-Level 1 users
- Online Search full details available in exports



**DNP** DO  
NOT  
PAY

BUREAU OF THE FISCAL SERVICE

# Do Not Pay Business Center Portal Demonstration

Kristofer Klette  
DNP Agency Specialist  
April 14, 2020



# Payment Integrity Center of Excellence: Overview

Tammie S. Johnson  
Program & Management Analyst  
April 14, 2020

# Objectives

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- Show the alignment with CAP Goal 9
- Provide an overview of Payment Integrity Center of Excellence (PICOE)
- PICOE's next steps

# Getting Payments Right

## President's Management Agenda (CAP Goal 9)

- Reduce the amount of cash lost to the taxpayer
- Clarify and streamline reporting and compliance requirements
- Partner with states to address improper payments

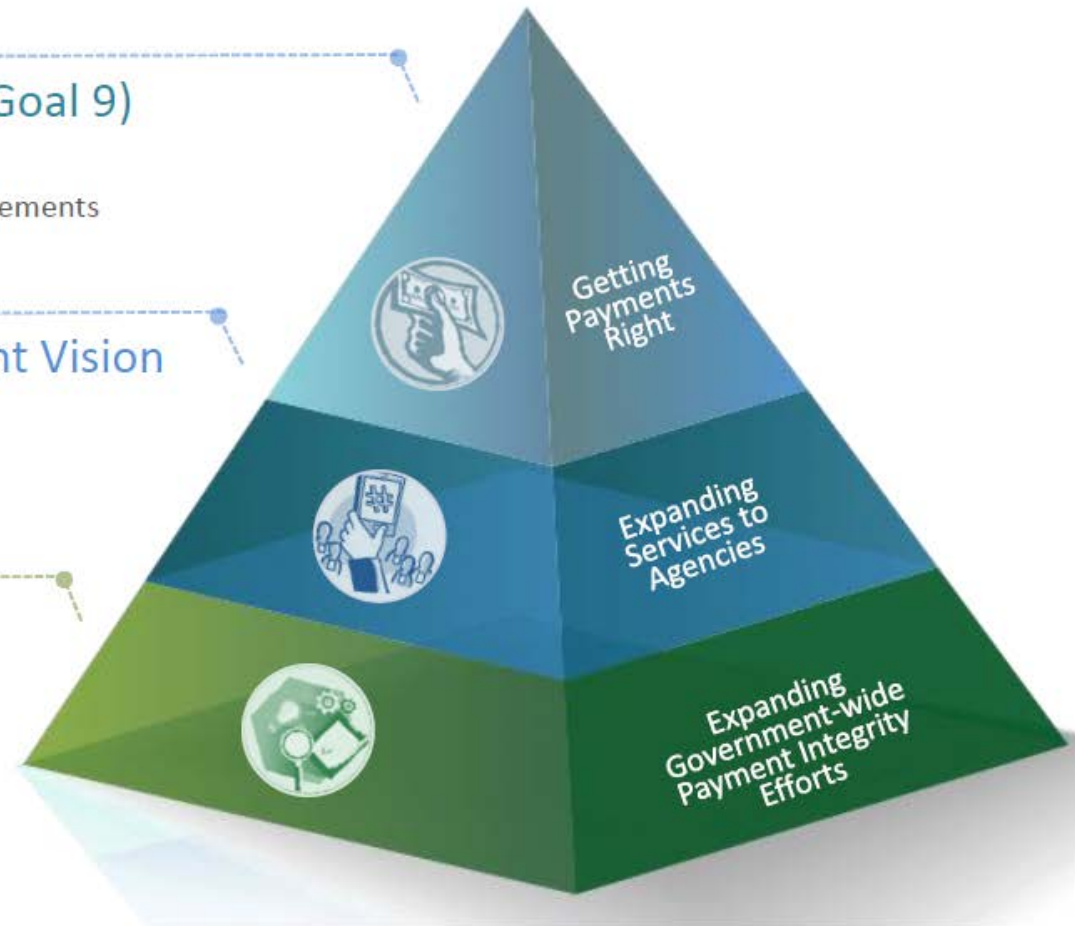
## Treasury's 10-Year Financial Management Vision

Reduce government-wide improper payments by **expanding data analytic and other services** to agencies so they can identify and combat improper payments

## Payment Integrity Center of Excellence

Provide **Governmentwide partnership, guidance, and solutions** that aid in the prevention of improper payments and fraud, waste, and abuse.

**Payment integrity** characterizes the various systems and processes that exist in the flow of funds throughout the Government, to ensure payments are made to the right recipient, for the precise amount, for the correct reason, and at the correct time.



# Payment Integrity Services Provided by Fiscal Service

Fiscal Service currently provides agencies a number of services aimed at safeguarding the integrity of payments.

- ❑ **Do Not Pay** provides agencies a variety of **centralized data sources** to support the detection of ineligible awardees and payees and also offers **data analytics**.
- ❑ Reconciles **post payment activities** where the payment did not go to the right recipient, for the precise amount, correct reason, and at the correct time.
- ❑ Collects **spend data (USASpending.gov)** from more than 100 Federal agencies' financial systems and data pulled or derived from other systems, such as the Federal Procurement Data System Next Generation (FPDS-NG) and the Federal Assistance Broker Submission System (FABS).
- ❑ Receives and utilizes **other data** related to citizens and others who transact with the Federal government (e.g., data associated with incoming payments, vendor data).
- ❑ Developed the **“Program Integrity: Antifraud Playbook”** in partnership with the CFO Council and close agency collaboration.

**As the Government’s financial steward, Fiscal Service, in partnership with OMB, is uniquely positioned to drive the Governmentwide payment integrity and related fraud risk mitigation efforts.**



# Payment Integrity Center of Excellence

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VISION

## VISION

to be a trusted **Governmentwide partner to provide actionable business insights and solutions** that transform how Government agencies approach identification, prevention, stopping, and recovery of improper payments and related fraudulent activity.



MISSION

## MISSION

to provide **Governmentwide partnership, guidance, and solutions** that aid in the prevention of improper payments and fraud, waste and abuse.

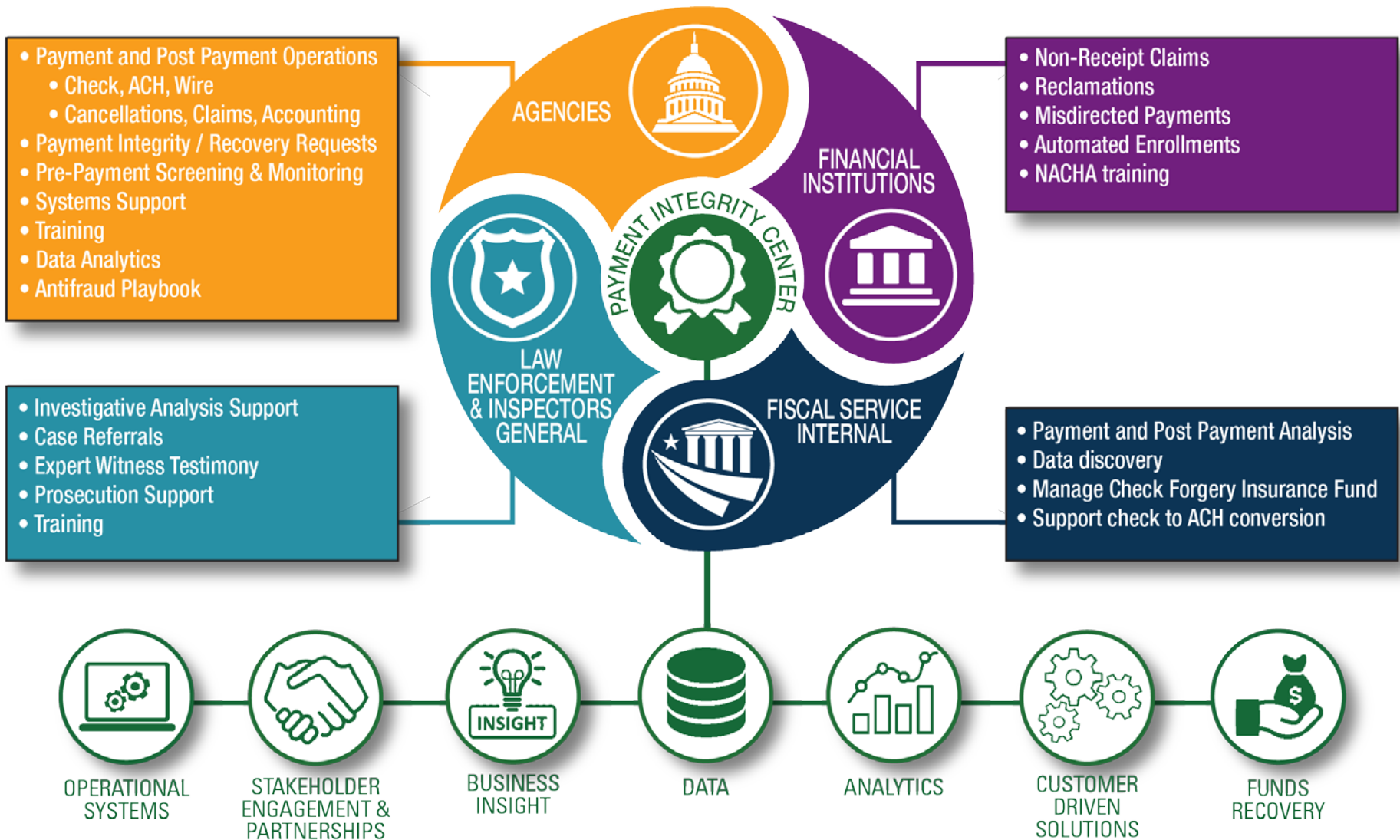


GOALS

## GOALS

**Improve the integrity of Government-wide financial transactions** by providing business insight and solutions that assist Government agencies in identifying, preventing, and recovering improper payments.

# Payment Integrity Stakeholders & Services



# Payment Integrity Throughout the Payment Lifecycle



*Identify people who shouldn't be paid & payments that should not have been made*

1

## **Identify Improper Payments**

Identify the payment or payee that should not be paid

2

## **Prevent (Pre-Award, Pre-Payment)**

Prevent the award or payment before sending to Treasury

3

## **Recall (At time of Payment)**

Hold for further analysis or cancel payment before disbursement

4

## **Recover (Post-Payment)**

Request recovery of funds from the Financial Institution

5

## **Investigate**

Refer for investigation if criminal activity is determined

6

## **Share**

Share outcomes and discoveries with stakeholder community

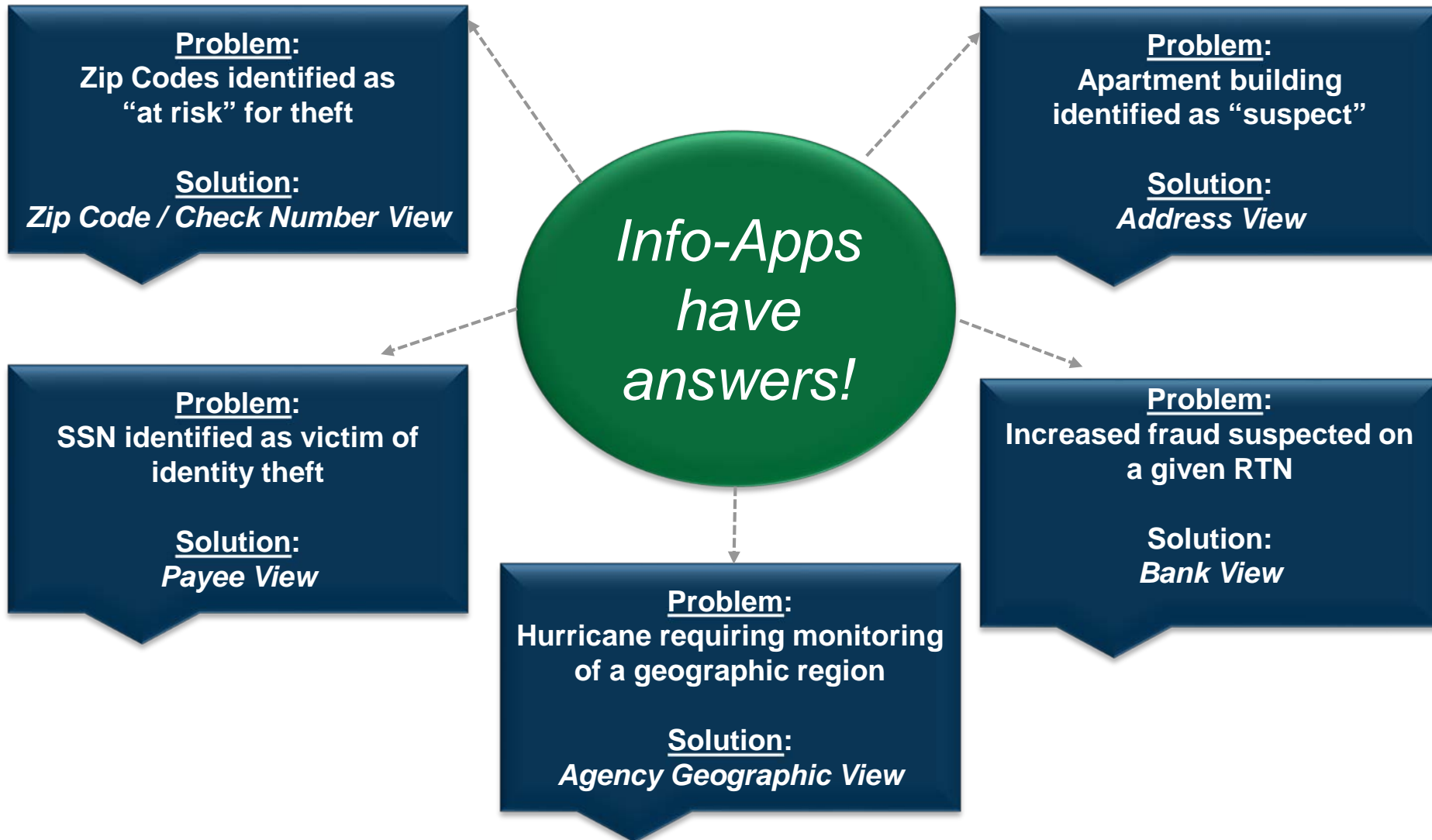
# Payment Exceptions Background

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- Within the over 1.2 billion payments valued at \$3.7 trillion disbursed annually:
  - Less than ½% (nearly 6.3 million transactions) require a “second touch”, most common examples include:
    - **Returns** occur when a Automated Clearinghouse (ACH) or U.S. Treasury check is sent back to U.S. Treasury (i.e. deceased, bad account number/address).
    - **Agency initiated claims** occur when an agency determines a recipient is deceased but has received Federal benefit (i.e. SSA, SSI) payments after death and funds need to be recovered from the receiving financial institution.
    - **Payee non-receipt claims** occur when a recipient alleges they did not receive their ACH or U.S. Treasury check payment.
    - **Stale dated checks** occur when a U.S. Treasury check goes uncashed for more than 12 months.
    - **Forgery claim adjudication** describes the process followed to determine whether a U.S. Treasury check was forged and if the recipient needs to receive a settlement payment.
    - **U.S. Treasury check discrepancies** occur when a U.S. Treasury check is misread, amount and/or payee is altered or counterfeited.
    - **Fraud referrals** occur when a pattern / trend is identified as potentially suspicious activity (could result from any of the above scenarios) and is referred to law enforcement for assessment.

# Payment Integrity Info-Apps In Action

*Agencies and IGs have Payment Integrity Questions...*



# Payment Integrity Info-Apps in Action

## Monitor Payees Impacted by Natural Disasters

Users supply parameters such as date range, agency, and event to monitor

Begin Date: 09/01/2017    End Date: 09/18/2018    Agency: ALL    ALC: ALL

Event: FLORENCE, Hawaii Hurricane Ln, July 18 CA Wildfires, Hawaii Volcano, East Coast Storm, HARVEY, IRMA, MARIA, CA Mudslides

Show Event Criteria

Info-App provides post payment monitoring and alerts for disaster event

Alerts identify payment integrity risks (e.g. Many payees at one address, possible forgeries)

User can drill down for a list of payees with uncashed or returned checks

Begin Date: 09/01/2017    End Date: 09/18/2018    ALC: SSA - (ALL ALCs)    Event: HAWAII HURRICANE LN    Click to Change Selection

Event Analysis    Alerts    Ad-Hoc

### Check Payments by Payment Date across Check Status

Day	CANCELLED BY ACC		OUTSTANDING ISSUE		RECONCILED ISSUE / PAYMENT		CANCELLATION BY UCC		TOTAL	
	#	\$	#	\$	#	\$	#	\$	#	\$
09/01/2018	8	\$3,280	11	\$76,452	351	\$679,450	5	\$1,783	1,815	\$769,964
09/02/2018	3	\$3,790			28	\$50,420			31	\$62,210
09/03/2018	18	\$17,487	124	\$65,565	1,812	\$1,223,790	3	\$7,820	1,957	\$1,295,612
09/06/2018	2	\$1,905	2	\$6,995	18	\$56,619			22	\$65,519
09/07/2018	2	\$1,852	2	\$883	13	\$21,353			17	\$23,288
09/08/2018	3	\$3,091	39	\$49,856	389	\$357,422	2	\$1,122	433	\$411,491
09/09/2018	1	\$1,766			21	\$33,469			22	\$35,235
09/10/2018	2	\$4,130	3	\$2,021	22	\$99,074			27	\$105,225
09/13/2018	3	\$2,581	4	\$3,583	11	\$46,393			18	\$52,557
09/14/2018	3	\$4,414	2	\$1,742	24	\$17,762			29	\$23,918
09/15/2018	3	\$3,110	41	\$60,143	155	\$328,515			199	\$389,768
09/16/2018	2	\$2,324	3	\$1,843	22	\$42,400			27	\$46,567
09/17/2018	3	\$2,824	3	\$26,446	14	\$61,616			20	\$74,886
09/20/2018	1	\$985	6	\$20,385	11	\$19,306			18	\$40,676
09/21/2018	1	\$1,346	4	\$3,680	17	\$18,567			22	\$23,593
09/22/2018	6	\$12,416	31	\$81,135	321	\$272,782	2	\$9,210	410	\$375,551
<b>TOTAL</b>	<b>67</b>	<b>\$66,497</b>	<b>375</b>	<b>\$414,729</b>	<b>2,826</b>	<b>\$3,325,042</b>	<b>18</b>	<b>\$19,142</b>	<b>4,286</b>	<b>\$3,636,410</b>

### Check Claims by Stop Reason across Check Status

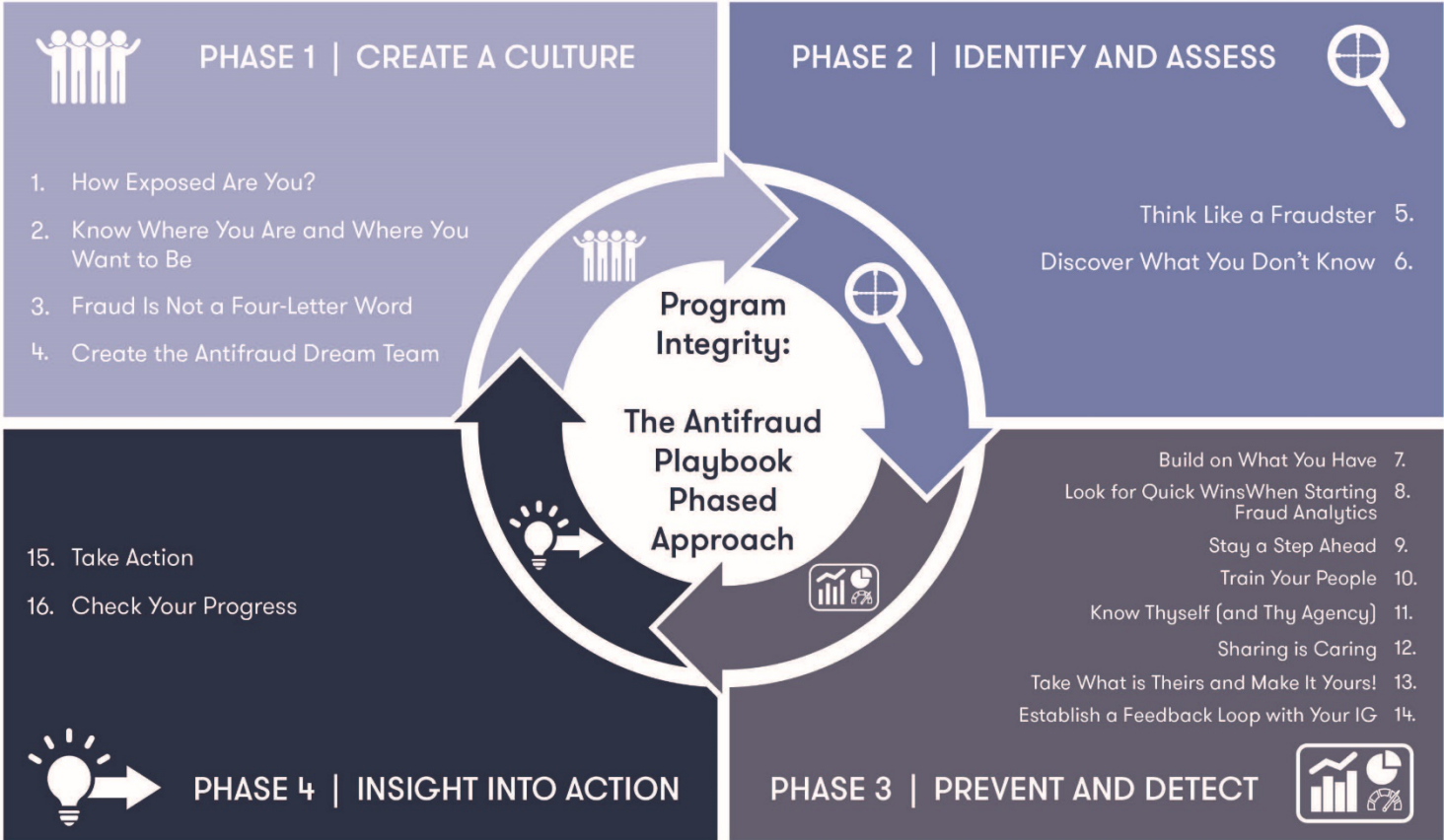
Stop Reason	RECONCILED ISSUE / PAYMENT		CANCELLATION BY UCC		TOTAL	
	#	\$	#	\$	#	\$
D	2	\$1,484	3	\$14,745	11	\$16,232
C			3	\$1,595	3	\$1,595
E			6	\$2,000	6	\$2,000
<b>TOTAL</b>	<b>2</b>	<b>\$1,484</b>	<b>12</b>	<b>\$18,340</b>	<b>20</b>	<b>\$20,827</b>

### Check Returns by Reason

Reason	#	\$
AD-RETURNED FOR ADDRESS REASON	28	\$24,894
CP	2	\$1,422
GP	2	\$1,532
<b>TOTAL</b>	<b>32</b>	<b>\$27,848</b>

# The Antifraud Playbook Contents

16 Antifraud Plays Organized into Four Phases

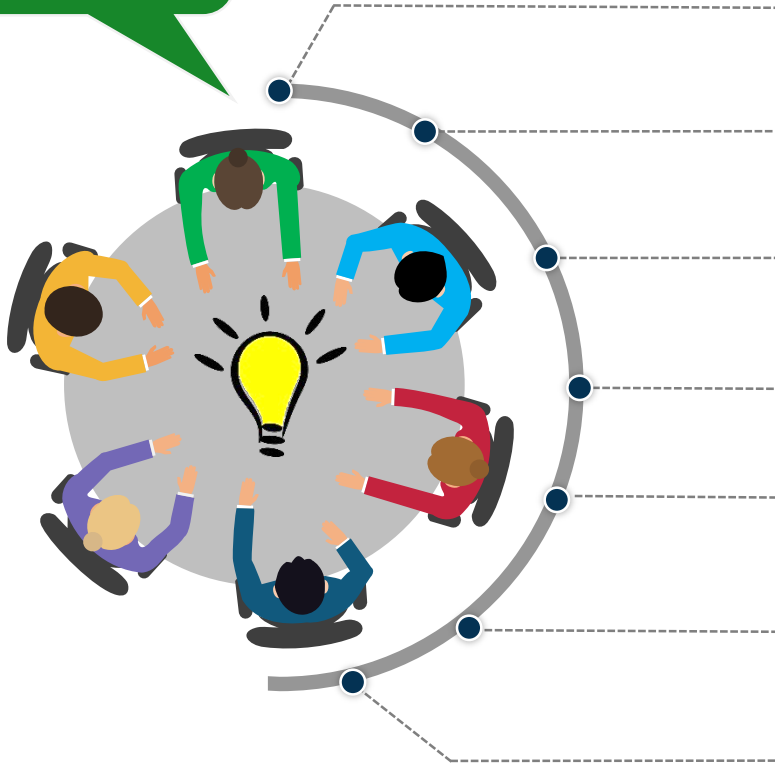


<https://cfo.gov/fraudprevention>



# Common Challenges

How can we partner to solve Payment Integrity issues?



## Seven Topics of Common Interest

Payments to the Deceased

Inter-Agency Benefit Eligibility

Payee Validation (Banking Info, Address)

High Risk Financial Institutions

Compromised Payees and Accounts

Payee Characteristics (DOB, DOD, Incarcerated, etc.)

Updates to 31 CFR Part 210



# What's Next?



## Agency Partnership Engagement

*Quarterly Meetings*  
*Review cross government initiatives*  
*Solicit agency requirements*  
*Share best practices*



## OMB Workgroups

*Participate in CAP Goal 9 Workgroups*

- *Strategic Data Use*
- *Monetary Loss – Root Causes*

*Provide subject matter expertise*



## Implement Customer Solutions

*Initiate Customer Driven Projects*  
*Apply repeatable Payment Integrity Solution Lifecycle*  
*Utilize core competencies to execute solutions*  
*Demonstrate tangible value through prevention and recovery*



## Add Additional Fiscal Service Capabilities

*Convene work group meetings*  
*Review FS led initiatives*  
*Understand available data*  
*Share best practices*

# Contact Information for PICOE

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Tammie Johnson  
Management and Program Analyst  
[tammie.johnson@fiscal.treasury.gov](mailto:tammie.johnson@fiscal.treasury.gov)

FS Post Payment Call Center – Identifying a Payment  
or Reclamation Debit:  
(855) 868-0151, option 1  
[PFC.CustomerEngagementCenter@fiscal.treasury.gov](mailto:PFC.CustomerEngagementCenter@fiscal.treasury.gov)

ACH Non-Receipt – Recovery Related Inquiries:  
[PFC.Teletrace@fiscal.treasury.gov](mailto:PFC.Teletrace@fiscal.treasury.gov)

Payment Integrity – Suspicious/Potentially Fraudulent  
Activity:  
[PaymentIntegrity@fiscal.treasury.gov](mailto:PaymentIntegrity@fiscal.treasury.gov)