

Appropriations, Outlays, and Balances – Continued

Appropriation Or Fund Account	Period Of Availability	Account Symbol		Balances, Beginning Of Fiscal Year	Appropriations And Other Obligational Authority ¹	Transfers Borrowings And Investment (Net) ²	Outlays (Net)	Balances Withdrawn And Other Transactions ³	Balances, End Of Fiscal Year ⁴		
		Dept								Account Number	Sub No.
		Reg	Tr From								
Small Business Administration											
General Fund Accounts											
Salaries And Expenses, Small Business Administration											
Fund Resources:											
Undisbursed Funds	1999-2001	73		0100	-----	4,839,604.00	-----	3,037,130.17	-----	1,802,473.83	
	1999-2000				-----	82,000,000.00	-----	38,760,686.17	-----	43,239,313.83	
	1999				-----	206,300,000.00	-75,283.35	110,835,005.55	-----	95,389,711.10	
	1998-1999				-----	42,578,249.50	-----	39,934,337.31	-----	2,643,912.19	
	1998				-----	98,705,326.56	78,680.00	62,874,444.14	-----	35,909,562.42	
	1997-1998				-----	5,245,900.93	-----	2,671,457.48	-----	2,574,443.45	
	1997				-----	23,409,214.37	-----	6,220,348.22	-----	17,188,866.15	
	1996				-----	12,590,494.29	-----	-1,473,003.91	-----	14,063,498.20	
	1995-1996				-----	2,996,033.66	-----	-800,879.10	-----	3,796,912.76	
	1995				-----	13,414,789.18	-----	481,035.11	-----	12,933,754.07	
	1994-1995				-----	876,562.91	-----	-24,903.75	-----	901,466.66	
	1994				-----	9,607,587.54	-9,000,000.00	-2,529,306.73	3,136,894.27	-----	
	1993-1994				-----	468,459.77	-----	-----	468,459.77	-----	
	No Year				-----	8,672,549.72	9,000,000.00	2,687,359.26	-----	14,985,190.46	
Funds Held Outside The Treasury	1999				-----	-----	75,283.35	-----	-----	75,283.35	
	1998				-----	78,680.00	-78,680.00	-----	-----	-----	
Accounts Receivable					-----	1,342,934.93	-----	-----	-3,826,320.07	5,169,255.00	
Fund Equities:					-----	-----	-----	-----	-----	-----	
Unobligated Balances (Expired)					-----	-19,974,051.94	-----	-----	19,734,687.06	-39,708,739.00	
Unobligated Balances (Unexpired)					-----	-16,660,477.58	-----	-----	-5,994,044.46	-10,666,433.12	
Accounts Payable					-----	-18,915,356.75	-----	-----	-13,588,939.40	-5,326,417.35	
Undelivered Orders					-----	-164,436,897.09	-----	-----	30,535,156.91	-194,972,054.00	
Subtotal		73		0100	-----	-0-	293,139,604.00	262,673,709.92	30,465,894.08	-0-	
Office Of Inspector General, Small Business Administration											
Fund Resources:											
Undisbursed Funds	1999	73		0200	-----	-----	10,800,000.00	9,360,303.77	-----	1,439,696.23	
	1998				-----	1,472,537.90	-----	1,318,373.32	-----	154,164.58	
	1997				-----	-17,776.96	-----	15.69	-----	5-17,792.65	
	1996				-----	49,894.22	-----	-----	-----	49,894.22	
	1995				-----	16,030.21	-----	-----	-----	16,030.21	
	1994				-----	95,150.20	-----	-----	95,150.20	-----	
	No Year				-----	234,387.19	-----	-344,008.17	-----	578,395.36	
Accounts Receivable					-----	149,328.94	-----	-----	-223,736.06	373,065.00	
Fund Equities:					-----	-----	-----	-----	-----	-----	
Unobligated Balances (Expired)					-----	-615,414.66	-----	-----	567,030.93	6-1,182,445.59	
Unobligated Balances (Unexpired)					-----	-71,005.32	-----	-----	505,528.04	-576,533.36	
Accounts Payable					-----	-655,863.60	-----	-----	-620,023.60	-35,840.00	
Undelivered Orders					-----	-657,268.12	-----	-----	141,365.88	-798,634.00	
Subtotal		73		0200	-----	-0-	10,800,000.00	10,334,684.61	465,315.39	-0-	
Disaster Loans Program Account, Small Business Administration											
Fund Resources:											
Undisbursed Funds	1999	73		1152	-----	-----	452,906,132.00	452,906,132.00	-----	-----	
	1993-1995				-----	20,000,000.00	-----	-----	-----	20,000,000.00	
	No Year				-----	139,686,736.08	182,329,000.00	200,091,430.97	560,027,058.80	121,924,305.11	
Accounts Receivable					-----	560,027,058.80	-----	-----	-----	-----	
Fund Equities:					-----	-----	-----	-----	-----	-----	
Unobligated Balances (Expired)					-----	-20,000,000.00	-----	-----	-----	-20,000,000.00	
Unobligated Balances (Unexpired)					-----	-80,615,672.45	-----	-----	388,348.69	-81,004,021.14	
Accounts Payable					-----	-619,098,122.43	-----	-----	-578,177,838.46	-40,920,283.97	

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		Dept		Account Number	Sub No.						
		Reg	Tr From								
	Subtotal	73		1152		-0-	635,235,132.00		652,997,562.97	-17,762,430.97	-0-
Business Loans Program Account, Small Business Administration											
Fund Resources:											
Undisbursed Funds	1999-2000	73		1154		-----	45,000,000.00	-----	-----	-----	45,000,000.00
	1999					-----	212,625,135.00	-----	210,884,727.61	-----	1,740,407.39
	1998-1999					45,000,000.00					45,000,000.00
	1998					30,361,004.10			66,250,144.99		⁵ -35,889,140.89
	1997-1998					40,510,000.00					40,510,000.00
	1997					-14,437,739.97			6,830,091.78		⁵ -21,267,831.75
	1996-1997					40,510,000.00					40,510,000.00
	1996					8,502,732.40			513,178.59		7,989,553.81
	1995-1996					⁷ 41,954,233.00					41,954,233.00
	1995					⁷ -37,593,266.04			1,905,519.11		⁵ -39,498,785.15
	1994					6,556,663.23			85,698.36	6,470,964.87	
	No Year					⁹ 92,762,428.07	2,772,600.00	7,102,539.00	21,024,219.26		81,613,347.81
Accounts Receivable						170,791,746.58				-50,870,454.23	221,662,200.81
Fund Equities:											
Unobligated Balances (Expired)						⁷ -13,925,392.42				8,762,865.55	-22,688,257.97
Unobligated Balances (Unexpired)						⁷ 104,225,926.35				-27,820,480.59	-76,405,445.76
Accounts Payable						-306,766,482.60				23,463,798.70	-330,230,281.30
	Subtotal	73		1154		-0-	260,397,735.00	7,102,539.00	307,493,579.70	-39,993,305.70	-0-
Public Enterprise Funds											
Pollution Control Equipment Fund, Liquidating Account, Small Business Administration											
Fund Resources:											
Undisbursed Funds	No Year	73		4147		14,044,900.66	4,000,000.00		2,196,911.11	⁸ 14,044,900.66	1,803,088.89
Fund Equities:											
Unobligated Balances (Unexpired)						-14,044,900.66				-12,241,811.77	-1,803,088.89
	Subtotal	73		4147		-0-	4,000,000.00		2,196,911.11	1,803,088.89	-0-
Disaster Loan Fund, Liquidating Account, Small Business Administration											
Fund Resources:											
Undisbursed Funds	No Year	73		4153		256,198,589.43			-165,457,350.85	⁸ 195,898,563.12	225,757,377.16
Fund Equities:											
Unobligated Balances (Unexpired)						-195,898,563.12				-22,286,151.30	-173,612,411.82
Accounts Payable						-60,162,514.06				-8,155,060.97	-52,007,453.09
Undelivered Orders						-137,512.25				-----	-137,512.25
	Subtotal	73		4153		-0-			-165,457,350.85	165,457,350.85	-0-
Business Loan Fund, Liquidating Account, Small Business Administration											
Fund Resources:											
Undisbursed Funds	No Year	73		4154		786,391,740.80			⁹ -46,617,964.73	⁸ 273,026,638.61	779,477,045.17
Accounts Receivable										-137.24	137.24
Fund Equities:											
Unobligated Balances (Unexpired)						-273,281,786.97				-33,055,732.47	-240,226,054.50
Accounts Payable						-86,972,716.49				-23,881,225.65	-63,091,490.84
Undelivered Orders						-426,137,237.34				50,022,399.73	-476,159,637.07
	Subtotal	73		4154		-0-		-46,617,964.73	-312,729,907.71	266,111,942.98	-0-

Footnotes At End Of Chapter

Appropriations, Outlays, and Balances – Continued

Appropriation Or Fund Account Title	Period Of Availability	Account Symbol			Balances, Beginning Of Fiscal Year	Appropriations And Other Obligational Authority ¹	Transfers Borrowings And Investment (Net) ²	Outlays (Net)	Balances Withdrawn And Other Transactions ³	Balances, End Of Fiscal Year ⁴	
		Dept		Account Number							Sub No.
		Reg	Tr From								
Surety Bond Guarantees Revolving Fund, Small Business Administration											
Fund Resources:											
Undisbursed Funds	No Year	73		4156	42,359,669.80	3,300,000.00	-----	5,001,333.88	-----	40,658,335.92	
Fund Equities:											
Unobligated Balances (Unexpired)					-4,195,012.48	-----	-----	-457,689.95	-----	-3,737,322.53	
Accounts Payable					-38,164,657.32	-----	-----	-1,243,643.93	-----	-36,921,013.39	
Subtotal	Subtotal	73		4156	-0-	3,300,000.00	-----	5,001,333.88	-1,701,333.88	-0-	
Trust Fund Accounts											
Business Assistance Trust Fund, Small Business Administration											
Fund Resources:											
Undisbursed Funds	No Year	73		8466	302,226.78	-----	-----	16,387.31	-----	285,839.47	
Fund Equities:											
Unobligated Balances (Unexpired)					-237,990.41	-----	-----	23,037.06	-----	-261,027.47	
Accounts Payable					-109.17	-----	-----	4,402.83	-----	-4,512.00	
Undelivered Orders					-64,127.20	-----	-----	-43,827.20	-----	-20,300.00	
Subtotal	Subtotal	73		8466	-0-	-----	-----	16,387.31	-16,387.31	-0-	
Deductions For Offsetting Receipts											
Proprietary Receipts From The Public					-----	-704,641,540.00	-----	-704,641,540.00	-----	-----	
Total, Small Business Administration					-----	502,230,931.00	-39,515,425.73	57,885,370.94	404,830,134.33	-----	
Memorandum											
Financing Accounts											
Public Enterprise Funds											
Business Loan And Investment Direct Loan Financing Account, Small Business Administration											
Fund Resources:											
Undisbursed Funds	No Year	73		4148	309,426,038.12	-----	22,349,527.65	-5,174,771.13	-----	336,950,336.90	
Authority To Borrow From The Treasury					-----	36,894,633.00	-36,894,644.00	-----	-----	-11.00	
Accounts Receivable					20,632,703.98	-----	-----	-----	-31,081,468.79	51,714,172.77	
Fund Equities:											
Unobligated Balances (Unexpired)					-271,282,697.53	-----	-----	39,238,083.13	-----	-310,520,780.66	
Accounts Payable					-38,836,348.12	-----	-----	17,014,524.08	-----	-55,850,872.20	
Undelivered Orders					-19,939,696.45	-----	-----	2,353,149.36	-----	-22,292,845.81	
Subtotal	Subtotal	73		4148	-0-	36,894,633.00	-14,545,116.35	-5,174,771.13	27,524,287.78	-0-	
Business Loan And Investment Guaranteed Loan Financing Account, Small Business Administration											
Fund Resources:											
Undisbursed Funds	No Year	73		4149	1,209,404,746.49	-----	-----	387,400,287.61	-----	822,004,458.88	
Accounts Receivable					176,421,110.16	-----	-----	-----	45,206,410.02	131,214,700.14	
Fund Equities:											
Unobligated Balances (Unexpired)					-1,366,500,035.94	-----	-----	-551,180,173.87	-----	-815,319,862.07	
Accounts Payable					-19,325,820.71	-----	-----	118,573,476.24	-----	-137,899,296.95	
Subtotal	Subtotal	73		4149	-0-	-----	-----	387,400,287.61	-387,400,287.61	-0-	

Appropriations, Outlays, and Balances - Continued

Appropriation Or Fund Account		Account Symbol				Balances, Beginning Of Fiscal Year	Appropriations And Other Obligational Authority ¹	Transfers Borrowings And Investment (Net) ²	Outlays (Net)	Balances Withdrawn And Other Transactions ³	Balances, End Of Fiscal Year ⁴
Title	Period Of Availability	Dept		Account Number	Sub No.						
		Reg	Tr From								
Disaster Direct Loan Financing Account, Small Business Administration											
Fund Resources:											
Undisbursed Funds	No Year	73		4150		4,945,799,423.41	-----	244,384,871.67	-231,906,070.96	-----	5,422,090,366.04
Authority To Borrow From The Treasury						-----	753,845,611.00	-753,845,611.00	-----	-----	-----
Accounts Receivable						355,162,095.98	-----	-----	-----	43,717,006.44	311,445,089.54
Fund Equities:											
Unobligated Balances (Unexpired)						-3,955,929,277.48	-----	-----	-----	1,167,828,298.91	-5,123,757,576.39
Accounts Payable						-1,108,322,605.68	-----	-----	-----	-664,762,896.00	-443,559,709.68
Undelivered Orders						-236,709,636.23	-----	-----	-----	-70,491,466.72	-166,218,169.51
Subtotal		73		4150		-0-	-----	753,845,611.00	-509,460,739.33	-231,906,070.96	476,290,942.63
Total, Financing Accounts						-----	790,740,244.00	-524,005,855.68	150,319,445.52	116,414,942.80	-----

Appropriations, Outlays, and Balances – Continued

Footnotes

- 1 The amounts in this column, unless otherwise footnoted, represent appropriations, increases and rescissions in borrowing authority or new contract authority. Appropriation accounts with appropriation transfer activity are presented in Table 1 (Appropriations and Appropriation Transfers) at the end of this chapter.
- 2 The amounts in this column, unless otherwise footnoted, represent transfers - other than appropriation transfers, borrowings (gross), investments (net), unrealized discounts or agent cashier funds.
- 3 The amounts in this column, unless otherwise footnoted, represent obligated balances canceled for fiscal year 1994 pursuant to 31 U.S.C. 1553, changes in unfilled customer orders, accounts receivable, accounts payable, undelivered orders, unobligated balances and adjustments to borrowing and contract authority.
- 4 Unobligated balances for no-year or unexpired multiple year accounts are available for obligation; unobligated balances for expired fiscal year accounts are not available for obligation.
- 5 Subject to disposition by the administrative agency.
- 6 Includes \$32,402.65, which is subject to disposition by the administrative agency.
- 7 The opening balances of the following accounts have been adjusted during the current fiscal year and do not agree with last year's closing balances:
- | <u>Account</u> | <u>Amount</u> |
|----------------------------------|-----------------|
| 73 9596 1154 | -\$1,890,767.00 |
| 73 95 1154 | 2,890,767.00 |
| 73 X 1154 | -1,000,000.00 |
| Unobligated Balances (Expired) | -1,000,000.00 |
| Unobligated Balances (Unexpired) | 1,000,000.00 |
- 8 Represents capital transfer to miscellaneous receipts.
- 9 Includes \$39,515,425.73, which represents repayment of borrowing from the Federal Financing Bank in lieu of issuance of agency debt.

Appropriations, Outlays, and Balances – Continued

Footnotes

Table 1 – Appropriations and Appropriation Transfers – Small Business Administration

Department Regular	Fiscal Year	Account Symbol	Net Appropriations And Appropriations Transfers	Appropriation Amount	Net Appropriation Transfers	Department Regular Involved	Fiscal Year Involved	Accounts Involved	Amount From or To (-)
73	9901	0100	4,839,604.00	0.00	4,839,604.00	11	9901	1237	4,839,604.00
73	X	1152	182,329,000.00	177,329,000.00	5,000,000.00	12	X	4336	5,000,000.00
73	X	1154	2,772,600.00	2,200,000.00	572,600.00	20	9900	0118	572,600.00
Totals			189,941,204.00	179,529,000.00	10,412,204.00				10,412,204.00