

### Appropriations, Outlays, and Balances – Continued

Appropriation or Fund Account		Account Symbol				Balances, Beginning Of Fiscal Year	Appropriations And Other Obligational Authority <sup>1</sup>	Transfers Borrowings And Investment (Net) <sup>2</sup>	Outlays (Net)	Balances Withdrawn And Other Transactions <sup>3</sup>	Balances, End Of Fiscal Year <sup>4</sup>
Title	Period of Availability	Dept		Account Number	Sub No.						
		Reg	Tr From								
<b>Small Business Administration</b>											
<b>General Fund Accounts</b>											
Salaries And Expenses, Small Business Administration											
Fund Resources:											
Undisbursed Funds	2012-2013	73		0100		-----	139,600,000.00	-----	52,406,326.30	-----	87,193,673.70
	2012					-----	277,748,000.00	-----	176,518,516.82	-----	101,229,483.18
	2011-2012					103,876,836.76	-----	-----	83,678,343.22	-----	20,198,493.54
	2010-2012					149,318,039.24	-----	-----	47,954,431.09	-----	101,363,608.15
	2011					112,663,988.86	-----	-----	84,781,856.89	-----	27,882,131.97
	2010-2011					68,363,516.51	-----	-----	37,699,167.36	-----	30,664,349.15
	2010					25,819,165.79	-----	-----	16,028,600.21	-----	9,790,565.58
	2009-2010					31,197,862.26	-----	-----	17,129,141.17	-----	14,068,721.09
	2009					4,954,926.99	-----	-----	658,225.85	-----	4,296,701.14
	2008-2009					18,003,799.91	-----	-----	6,834,846.37	-----	11,168,953.54
	2008					4,435,162.97	-----	-----	16,142.27	-----	4,419,020.70
	2007-2008					743,145.29	-----	-----	1,402.65	-----	741,742.64
	2007					6,749,167.83	-----	-----	218,166.25	6,531,001.58	-----
	2006-2007					455,317.75	-----	-----	14,252.00	441,065.75	-----
	No Year					156,257,186.14	-----	-----	23,420,075.79	-----	132,837,110.35
Fund Equities:											
Unobligated Balances (Expired)						-22,762,380.47	-----	-----	9,037,371.27	-----	-31,799,751.74
Unobligated Balances (Unexpired)						-175,165,966.03	-----	-----	-92,219,367.45	-----	-82,946,598.58
Accounts Payable						-108,360,003.36	-----	-----	15,887,715.95	-----	-124,247,719.31
Undelivered Orders						-376,549,766.44	-----	-----	-69,689,281.34	-----	-306,860,485.10
	Subtotal	73		0100		-0-	417,348,000.00	-----	547,359,494.24	-130,011,494.24	-0-
Salaries And Expenses - Recovery Act, Small Business Administration											
Fund Resources:											
Undisbursed Funds	2009-2010	73		0101		11,012,795.96	-----	-----	6,510,862.17	-----	4,501,933.79
Fund Equities:											
Unobligated Balances (Expired)						-979,784.31	-----	-----	151,740.34	-----	-1,131,524.65
Undelivered Orders						-10,033,011.65	-----	-----	-6,662,602.51	-----	-3,370,409.14
	Subtotal	73		0101		-0-	-----	-----	6,510,862.17	-6,510,862.17	-0-
Office Of Inspector General, Small Business Administration											
Fund Resources:											
Undisbursed Funds	2012	73		0200		-----	16,267,000.00	-----	14,044,985.28	-----	2,222,014.72
	2011					2,266,483.52	-----	-----	2,053,917.46	-----	212,566.06
	2010					198,386.87	-----	-----	82,381.78	-----	116,005.09
	2009					220,046.83	-----	-----	-2,800.00	-----	222,846.83
	2008					225,495.52	-----	-----	-----	-----	225,495.52
	2007					46,417.18	-----	-----	-----	46,417.18	-----
	No Year					3,537,783.07	-----	-----	651,017.15	-----	2,886,765.92
Fund Equities:											
Unobligated Balances (Expired)						-612,708.22	-----	-----	393,535.61	-----	-1,006,243.83
Unobligated Balances (Unexpired)						-3,432,052.54	-----	-----	-607,008.76	-----	-2,825,043.78
Accounts Payable						-1,409,619.64	-----	-----	-516,919.81	-----	-892,699.83
Undelivered Orders						-1,040,232.59	-----	-----	121,474.11	-----	-1,161,706.70
	Subtotal	73		0200		-0-	16,267,000.00	-----	16,829,501.67	-562,501.67	-0-

**Appropriations, Outlays, and Balances - Continued**

Appropriation or Fund Account  Title	Period of Availability	Account Symbol			Balances, Beginning Of Fiscal Year	Appropriations And Other Obligational Authority <sup>1</sup>	Transfers Borrowings And Investment (Net) <sup>2</sup>	Outlays (Net)	Balances Withdrawn And Other Transactions <sup>3</sup>	Balances, End Of Fiscal Year <sup>4</sup>	
		Dept		Account Number							Sub No.
		Reg	Tr From								
Office Of Inspector General - Recovery Act, Small Business Administration											
Fund Resources:											
Undisbursed Funds	2009-2013	73		0201	6,225,312.22	-----	-----	2,012,842.19	-----	4,212,470.03	
Fund Equities:											
Unobligated Balances (Unexpired)					-5,906,506.79	-----	-----	-2,002,323.52	-----	-3,904,183.27	
Accounts Payable					-33,431.26	-----	-----	33,653.56	-----	-67,084.82	
Undelivered Orders					-285,374.17	-----	-----	-44,172.23	-----	-241,201.94	
Subtotal		73		0201	-0-	-----	-----	2,012,842.19	-2,012,842.19	-0-	
Office Of Advocacy, Small Business Administration											
Fund Resources:											
Undisbursed Funds	No Year	73		0300	-----	9,120,000.00	-----	7,117,386.44	-----	2,002,613.56	
Fund Equities:											
Unobligated Balances (Unexpired)					-----	-----	-----	679,647.04	-----	-679,647.04	
Accounts Payable					-----	-----	-----	423,223.99	-----	-423,223.99	
Undelivered Orders					-----	-----	-----	899,742.53	-----	-899,742.53	
Subtotal		73		0300	-0-	9,120,000.00	-----	7,117,386.44	2,002,613.56	-0-	
Disaster Loans Program Account, Small Business Administration											
Fund Resources:											
Undisbursed Funds	2012	73		1152	-----	158,703,250.00	-----	158,703,250.00	-----	-----	
	No Year				572,541,616.04	-----	-----	225,607,398.92	-----	464,234,217.12	
Fund Equities:											
Unobligated Balances (Unexpired)					-514,982,492.45	-----	-----	-71,265,240.84	-----	-443,717,251.61	
Undelivered Orders					-57,559,123.59	-----	-----	-37,042,158.08	-----	-20,516,965.51	
Subtotal		73		1152	-0-	276,003,250.00	-----	384,310,648.92	-108,307,398.92	-0-	
Business Loans Program Account, Small Business Administration											
Fund Resources:											
Undisbursed Funds	2012	73		1154	-----	2,448,517,274.00	-----	2,448,517,274.00	-----	-----	
	2010-2012				14,578,030.00	-----	-----	4,380,152.48	-----	10,197,877.52	
	2010-2011				128,928,003.91	-----	-----	44,793,999.30	-----	84,134,004.61	
	2010				32,789,715.79	-----	-----	4,375,407.06	-----	28,414,308.73	
	2007				-----	-----	-----	-9,724.44	9,724.44	-----	
	No Year				100,609,372.86	-----	-----	139,147,040.68	-----	172,240,332.18	
Fund Equities:											
Unobligated Balances (Expired)					-52,426,726.51	-----	-----	-----	20,828,687.92	-73,255,414.43	
Unobligated Balances (Unexpired)					-60,027,498.25	-----	-----	-----	17,432,898.71	-77,460,396.96	
Undelivered Orders					-164,450,897.80	-----	-----	-----	-20,180,186.15	-144,270,711.65	
Subtotal		73		1154	-0-	2,659,295,274.00	-----	2,641,204,149.08	18,091,124.92	-0-	
Business Loans Program Account - Recovery Act, Small Business Administration											
Fund Resources:											
Undisbursed Funds	2012	73		1156	-----	208,618,171.00	-----	208,618,171.00	-----	-----	
	2009-2010				85,294,888.80	-----	-----	8,571,618.19	-----	76,723,270.61	
Fund Equities:											
Unobligated Balances (Expired)					-60,568,239.91	-----	-----	-----	4,584,392.97	-65,152,632.88	
Undelivered Orders					-24,726,648.89	-----	-----	-----	-13,156,011.16	-11,570,637.73	
Subtotal		73		1156	-0-	208,618,171.00	-----	217,189,789.19	-8,571,618.19	-0-	

Footnotes At End Of Chapter

### Appropriations, Outlays, and Balances – Continued

Appropriation or Fund Account		Account Symbol				Balances, Beginning Of Fiscal Year	Appropriations And Other Obligational Authority <sup>1</sup>	Transfers Borrowings And Investment (Net) <sup>2</sup>	Outlays (Net)	Balances Withdrawn And Other Transactions <sup>3</sup>	Balances, End Of Fiscal Year <sup>4</sup>
Title	Period of Availability	Dept		Account Number	Sub No.						
		Reg	Tr From								
<b>Public Enterprise Funds</b>											
Pollution Control Equipment Fund, Liquidating Account, Small Business Administration											
Fund Resources:											
Undisbursed Funds	No Year	73		4147		2,490,757.26	2,000,000.00	-----	-62,500.00	\$2,490,757.26	2,062,500.00
Fund Equities:											
Unobligated Balances (Unexpired)						-2,490,757.26	-----	-----	-----	-428,257.26	-2,062,500.00
	Subtotal	73		4147		-0-	2,000,000.00	-----	-62,500.00	2,062,500.00	-0-
Disaster Loan Fund, Liquidating Account, Small Business Administration											
Fund Resources:											
Undisbursed Funds	No Year	73		4153		5,086,966.87	2,000,000.00	-----	-1,987,594.62	\$4,676,741.87	4,397,819.62
Fund Equities:											
Unobligated Balances (Unexpired)						-4,676,741.87	-----	-----	-----	-640,884.31	-4,035,857.56
Accounts Payable						-410,225.00	-----	-----	-----	-48,262.94	-361,962.06
	Subtotal	73		4153		-0-	2,000,000.00	-----	-1,987,594.62	3,987,594.62	-0-
Business Loan Fund, Liquidating Account, Small Business Administration											
Fund Resources:											
Undisbursed Funds	No Year	73		4154		8,434,547.44	1,500,000.00	-518,116.17	-13,428,663.78	\$6,410,214.25	16,434,880.80
Fund Equities:											
Unobligated Balances (Unexpired)						-6,410,214.25	-----	-----	-----	4,945,361.74	-11,355,575.99
Accounts Payable						-2,024,333.19	-----	-----	-----	3,054,971.62	-5,079,304.81
	Subtotal	73		4154		-0-	1,500,000.00	-518,116.17	-13,428,663.78	14,410,547.61	-0-
Surety Bond Guarantees Revolving Fund, Small Business Administration											
Fund Resources:											
Undisbursed Funds	No Year	73		4156		42,942,699.75	-----	-----	-2,712,221.07	-----	45,654,920.82
Fund Equities:											
Unobligated Balances (Unexpired)						-42,712,238.02	-----	-----	-----	2,912,478.25	-45,624,716.27
Accounts Payable						-230,461.73	-----	-----	-----	-200,257.18	-30,204.55
	Subtotal	73		4156		-0-	-----	-----	-2,712,221.07	2,712,221.07	-0-
Surety Bond Guarantees Revolving Fund - Recovery Act, Small Business Administration											
Fund Resources:											
Undisbursed Funds	No Year	73		4268		15,990,105.46	-----	-----	187,995.27	-----	15,802,110.19
Fund Equities:											
Unobligated Balances (Unexpired)						-15,990,105.46	-----	-----	-----	-187,995.27	-15,802,110.19
	Subtotal	73		4268		-0-	-----	-----	187,995.27	-187,995.27	-0-
<b>Trust Fund Accounts</b>											
Business Assistance Trust Fund, Small Business Administration											
Fund Resources:											
Undisbursed Funds	No Year	73		8466		132,654.86	-----	-----	-9,313.44	-----	141,968.30
Fund Equities:											
Unobligated Balances (Unexpired)						-132,654.86	-----	-----	-----	8,585.20	-141,240.06
Undelivered Orders						-----	-----	-----	-----	728.24	-728.24
	Subtotal	73		8466		-0-	-----	-----	-9,313.44	9,313.44	-0-

**Appropriations, Outlays, and Balances - Continued**

Appropriation or Fund Account  Title	Period of Availability	Account Symbol			Balances, Beginning Of Fiscal Year	Appropriations And Other Obligational Authority <sup>1</sup>	Transfers Borrowings And Investment (Net) <sup>2</sup>	Outlays (Net)	Balances Withdrawn And Other Transactions <sup>3</sup>	Balances, End Of Fiscal Year <sup>4</sup>	
		Dept		Account Number							Sub No.
		Reg	Tr From								
<b>Deductions For Offsetting Receipts</b>											
Proprietary Receipts From The Public					-----	-868,366,316.91	-----	-868,366,316.91	-----	-----	
Intrabudgetary Transactions					-----	-848.72	-----	-848.72	-----	-----	
Total, Small Business Administration					-----	2,723,784,529.37	-518,116.17	2,936,155,210.63	-212,888,797.43	-----	
<b>Memorandum</b>											
<b>Financing Accounts</b>											
<b>Public Enterprise Funds</b>											
Business Loan And Investment Direct Loan Financing Account, Small Business Administration											
Fund Resources:											
Undisbursed Funds	No Year	73		4148	64,289,922.01	-----	-15,350,500.00	9,859,788.65	-----	39,079,633.36	
Authority To Borrow From The Treasury					-----	46,893,157.00	-38,490,000.00	-----	-----	8,403,157.00	
Unfilled Customer Orders					9,631,354.04	-----	-----	-----	-1,845,317.89	11,476,671.93	
Fund Equities:											
Unobligated Balances (Unexpired)					-24,224,736.36	-----	-----	-----	-19,885,128.93	-4,339,607.43	
Accounts Payable					-117,763.81	-----	-----	-----	-104,837.28	-12,926.53	
Undelivered Orders					-49,578,775.88	-----	-----	-----	5,028,152.45	-54,606,928.33	
Subtotal	73			4148	-0-	46,893,157.00	-53,840,500.00	9,859,788.65	-16,807,131.65	-0-	
Business Loan And Investment Guaranteed Loan Financing Account, Small Business Administration											
Fund Resources:											
Undisbursed Funds	No Year	73		4149	4,785,491,209.26	-----	-1,951,943,000.00	-914,023,962.13	-----	3,747,572,171.39	
Authority To Borrow From The Treasury					-----	340,250,000.00	-290,250,000.00	-----	3,575,000.00	46,425,000.00	
Unfilled Customer Orders					154,791,889.41	-----	-----	-----	22,027,703.95	132,764,185.46	
Fund Equities:											
Unobligated Balances (Unexpired)					-4,854,855,695.35	-----	-----	-----	-964,005,948.44	-3,890,849,746.91	
Accounts Payable					-78,606,761.05	-----	-----	-----	-46,405,486.67	-32,201,274.38	
Undelivered Orders					-6,820,642.27	-----	-----	-----	-3,110,306.71	-3,710,335.56	
Subtotal	73			4149	-0-	340,250,000.00	-2,242,193,000.00	-914,023,962.13	-987,919,037.87	-0-	
Disaster Direct Loan Financing Account, Small Business Administration											
Fund Resources:											
Undisbursed Funds	No Year	73		4150	1,121,455,378.22	-----	-1,283,106,000.00	-347,758,904.18	-----	186,108,282.40	
Authority To Borrow From The Treasury					-----	981,156,380.00	-720,380,000.00	-----	235,408,669.91	25,367,710.09	
Unfilled Customer Orders					57,597,032.24	-----	-----	-----	36,737,033.99	20,859,998.25	
Fund Equities:											
Unobligated Balances (Unexpired)					-749,660,102.40	-----	-----	-----	-699,645,777.84	-50,014,324.56	
Accounts Payable					-2,147,917.51	-----	-----	-----	-971,263.72	-1,176,653.79	
Undelivered Orders					-427,244,390.55	-----	-----	-----	-246,099,378.16	-181,145,012.39	
Subtotal	73			4150	-0-	981,156,380.00	-2,003,486,000.00	-347,758,904.18	-674,570,715.82	-0-	
Business Loan And Investment Direct Loan Financing Account - Recovery Act, Small Business Administration											
Fund Resources:											
Undisbursed Funds	No Year	73		4279	14,673,007.31	-----	-9,541,000.00	1,965,697.50	-----	3,166,309.81	

Footnotes At End Of Chapter

### Appropriations, Outlays, and Balances – Continued

Appropriation or Fund Account		Account Symbol				Balances, Beginning Of Fiscal Year	Appropriations And Other Obligational Authority <sup>1</sup>	Transfers Borrowings And Investment (Net) <sup>2</sup>	Outlays (Net)	Balances Withdrawn And Other Transactions <sup>3</sup>	Balances, End Of Fiscal Year <sup>4</sup>
Title	Period of Availability	Dept		Account Number	Sub No.						
		Reg	Tr From								
Business Loan And Investment Direct Loan Financing Account - Recovery Act, Small Business Administration - Continued											
Fund Resources: - Continued											
Authority To Borrow From The Treasury											
Unfilled Customer Orders											
Fund Equities:											
Unobligated Balances (Unexpired)											
Accounts Payable											
Undelivered Orders											
Subtotal											
Business Loan And Investment Guaranteed Loan Financing Account – Recovery Act, Small Business Administration											
Fund Resources:											
Undisbursed Funds											
Unfilled Customer Orders											
Fund Equities:											
Unobligated Balances (Unexpired)											
Accounts Payable											
Undelivered Orders											
Subtotal											
Total, Financing Accounts											

## Appropriations, Outlays, and Balances - Continued

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### Footnotes

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- 1 The amounts in this column, unless otherwise footnoted, represent appropriations, increases and rescissions in borrowing authority or new contract authority. Only appropriations with appropriation transfer activity are presented in Table 1 (Appropriations and Appropriation Transfers). Since the Small Business Administration had no transfer activity during fiscal year 2012, Table 1 does not appear.
- 2 The amounts in this column, unless otherwise footnoted, represent transfers - other than appropriation transfers, borrowings (gross), investments (net), unrealized discounts or funds held outside the Treasury.
- 3 The amounts in this column, unless otherwise footnoted, represent obligated balances canceled for fiscal year 2007 pursuant to 31 U.S.C. 1553, changes in unfilled customer orders, accounts receivable, accounts payable, undelivered orders, unobligated balances and adjustments to borrowing and contract authority.
- 4 Unobligated balances for no-year or unexpired multiple year accounts are available for obligation; unobligated balances for expired fiscal year accounts are not available for obligation.
- 5 Represents capital transfer to miscellaneous receipts.